



# Measuring the Top Quark Mass

Adam Gibson

UC Berkeley Qualifying Exam

November 21, 2003

- Why measure the top mass?
- What we've done so far – Run I style CDF measurement
- What I'm working on now – D0-style matrix element method
- Prospects for D0-style method at CDF, including work on transfer functions



# Standard Model



- SM so far very successful
  - Predicted W, Z masses
  - Compatible with a huge array of experimental data
- SM consistency checked to high precision
- A few loose ends tied up in last ten years
  - Top quark,  $\nu_\tau$
- Ongoing exploration
  - Nature of  $\nu$ 's
  - CKM matrix and CP violation
  - H boson still not observed
- Tevatron unlikely to discover H with only  $4 \text{ fb}^{-1}$
- Top a fundamental particle
  - Yukawa coupling a fundamental parameter of SM

FERMIONS			matter constituents spin = 1/2, 3/2, 5/2, ...		
Leptons spin = 1/2			Quarks spin = 1/2		
Flavor	Mass GeV/c <sup>2</sup>	Electric charge	Flavor	Approx. Mass GeV/c <sup>2</sup>	Electric charge
$\nu_e$ electron neutrino	$<1 \times 10^{-8}$	0	u up	0.003	2/3
e electron	0.000511	-1	d down	0.006	-1/3
$\nu_\mu$ muon neutrino	$<0.0002$	0	c charm	1.3	2/3
$\mu$ muon	0.106	-1	s strange	0.1	-1/3
$\nu_\tau$ tau neutrino	$<0.02$	0	t top	175	2/3
$\tau$ tau	1.7771	-1	b bottom	4.3	-1/3

BOSONS			force carriers spin = 0, 1, 2, ...		
Unified Electroweak spin = 1			Strong (color) spin = 1		
Name	Mass GeV/c <sup>2</sup>	Electric charge	Name	Mass GeV/c <sup>2</sup>	Electric charge
$\gamma$ photon	0	0	g gluon	0	0
$W^-$	80.4	-1	EW (EWSB) spin = 0		
$W^+$	80.4	+1	H   ?   0		
$Z^0$	91.187	0			



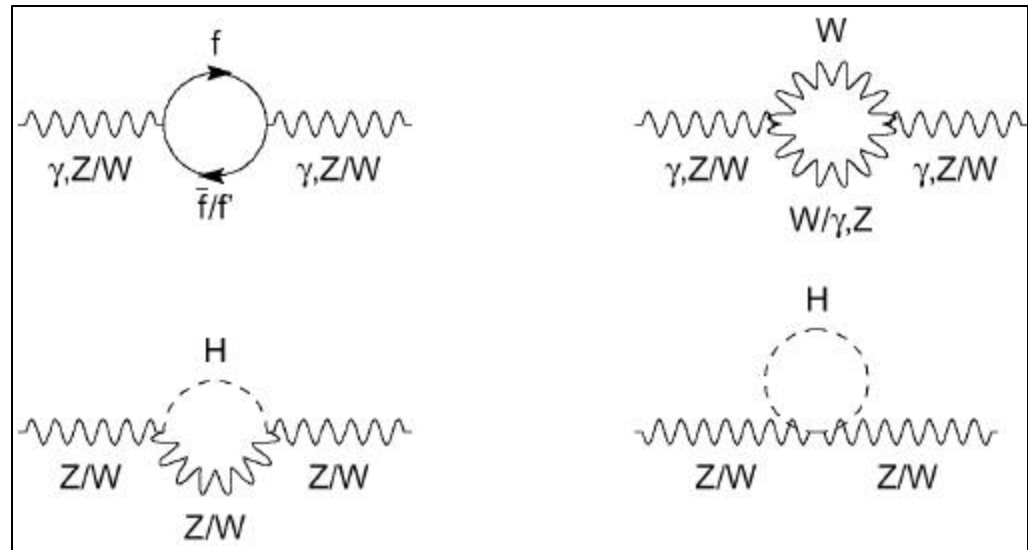
# Radiative Corrections



Fermions affect couplings

$$G_F = \frac{\pi\alpha}{\sqrt{2}m_W^2 \sin^2\theta_W} \frac{1}{(1 - \Delta r)}$$

$$\Delta r = \Delta\alpha + \Delta r_W$$



$$\alpha(s) = \frac{\alpha(0)}{1 - \Delta\alpha(s)} = \frac{\alpha(0)}{1 - \Delta\alpha_{lept}(s) - \Delta\alpha_{top}(s) - \Delta\alpha_{had}^{(5)}(s)}$$

$$\Delta r^t = - \frac{3G_F m_W^2}{8\sqrt{2}\pi^2} \frac{m_t^2}{m_W^2} \frac{\cos^2\theta_W}{\sin^2\theta_W} + \dots$$

$$\Delta r^H = \frac{11}{3} \frac{G_F m_W^2}{8\sqrt{2}\pi^2} \left( \ln \frac{m_H^2}{m_W^2} - \frac{5}{6} \right) + \dots$$

P. Renton hep-ph/0206231



# Precision Electroweak



- High-precision measurements of EW observables

- LEP I, SLD
- LEP II, SLD w/ polarized beams
- Tevatron
- $\nu N$  scattering (NuTeV)
- Atomic Physics

- Can “predict” top mass

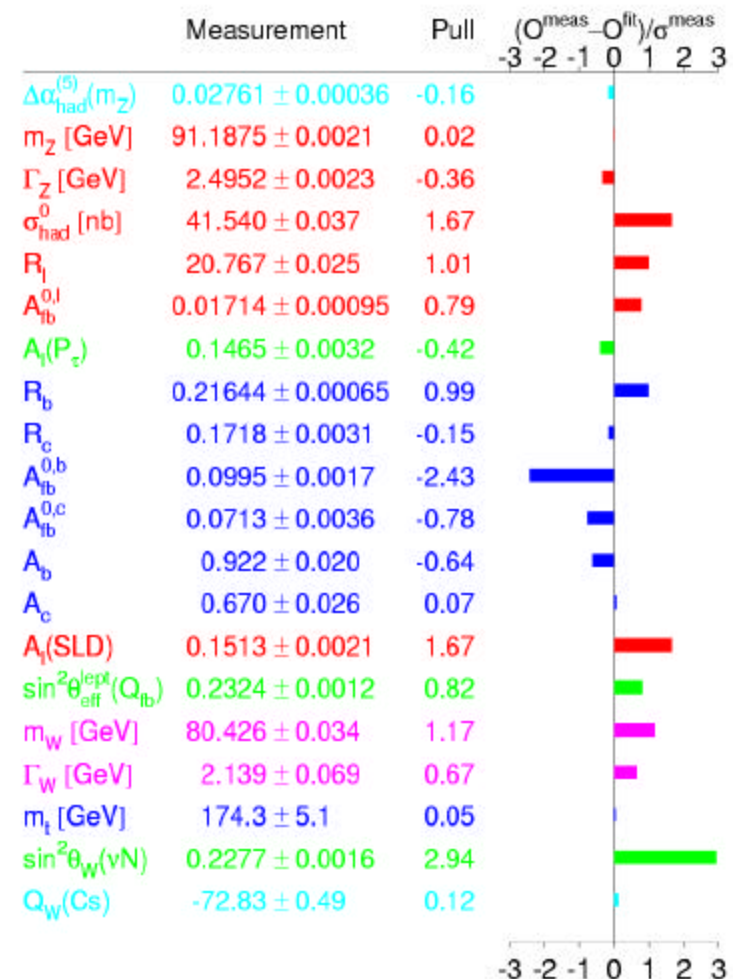
- ’94 data at least consistent with first  $m_t$  measurement
- Today LEP plus LEP II  $180_{-11}^{+13}$  GeV
- Today all Z pole  $171_{-9}^{+11}$  GeV
- Today global fit  $174.0_{-4.4}^{+4.5}$  GeV

- Can predict H mass

- $96_{-38}^{+60}$  GeV,  $<219$  GeV 95% CL

Bob Clare WIN ‘03

Winter 2003

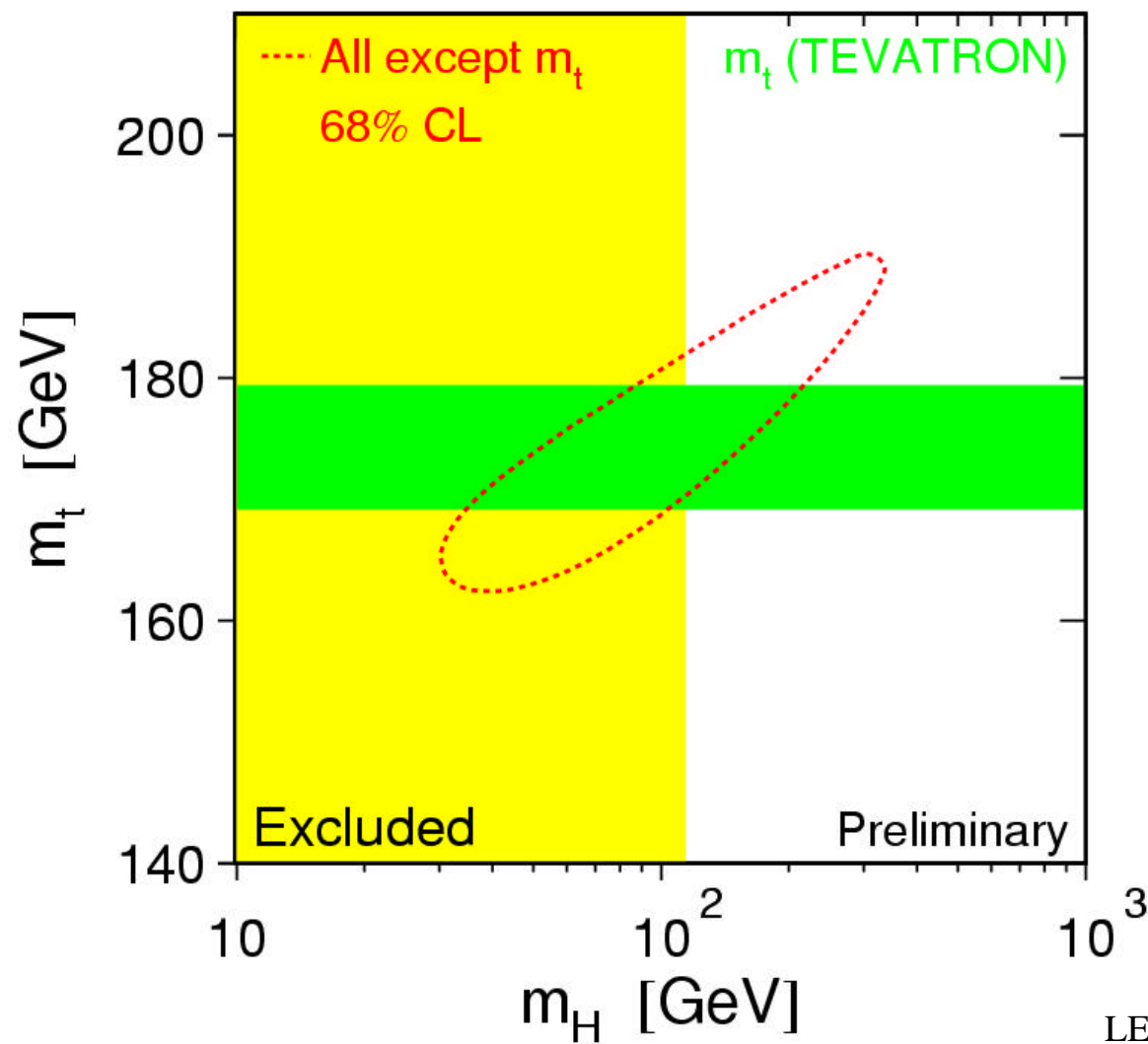


LEPEWWG/2003-01





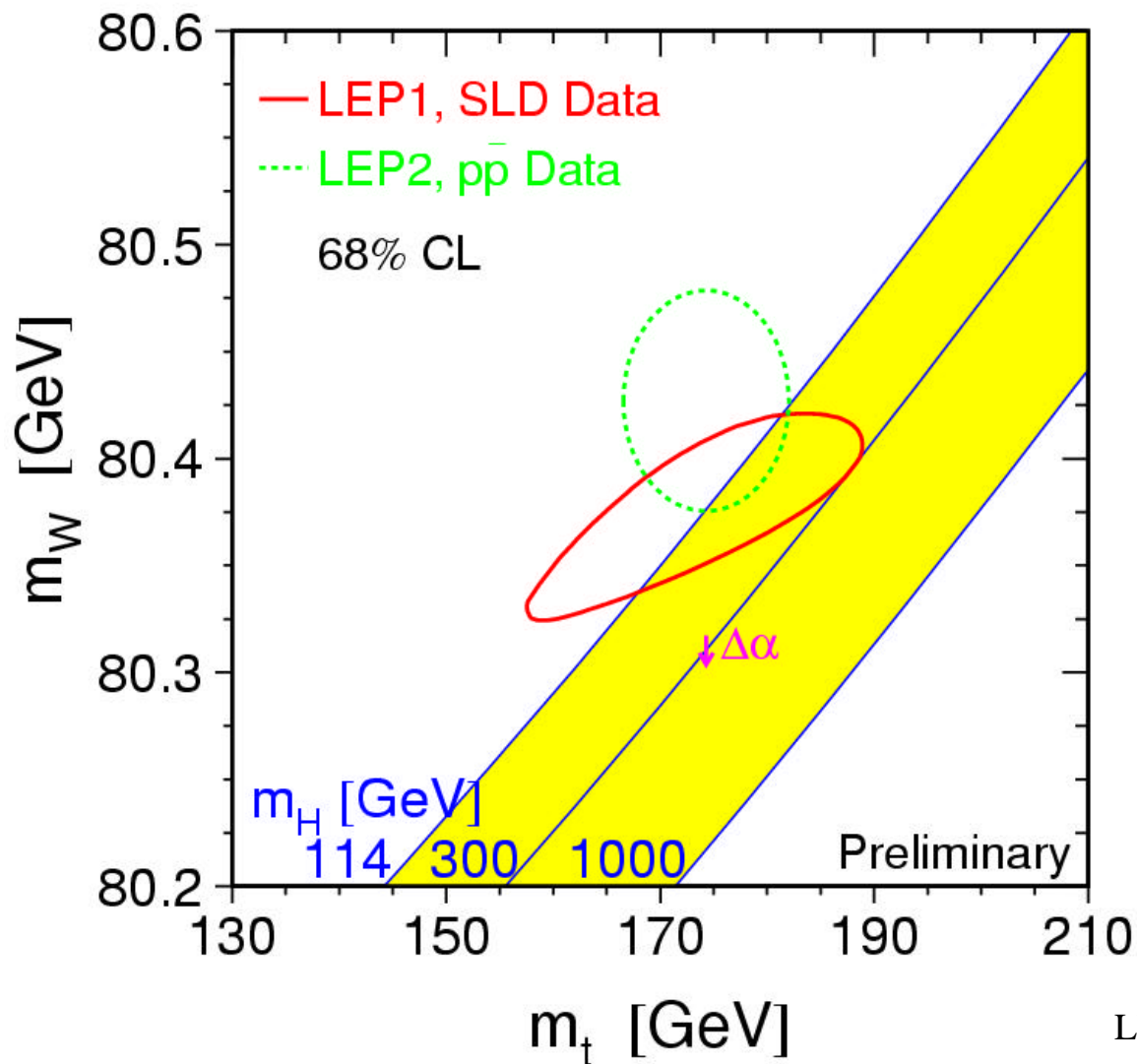
# $m_t$ Consistency



LEPEWWG/2003-01



# $m_W$ Also Key



LEPEWWG/2003-01



# Top contribution



## Preliminary CDF Run II Results

$$m_t = 177.5_{-9.4}^{+12.7} \pm 7.1(\ell + \text{jets})$$

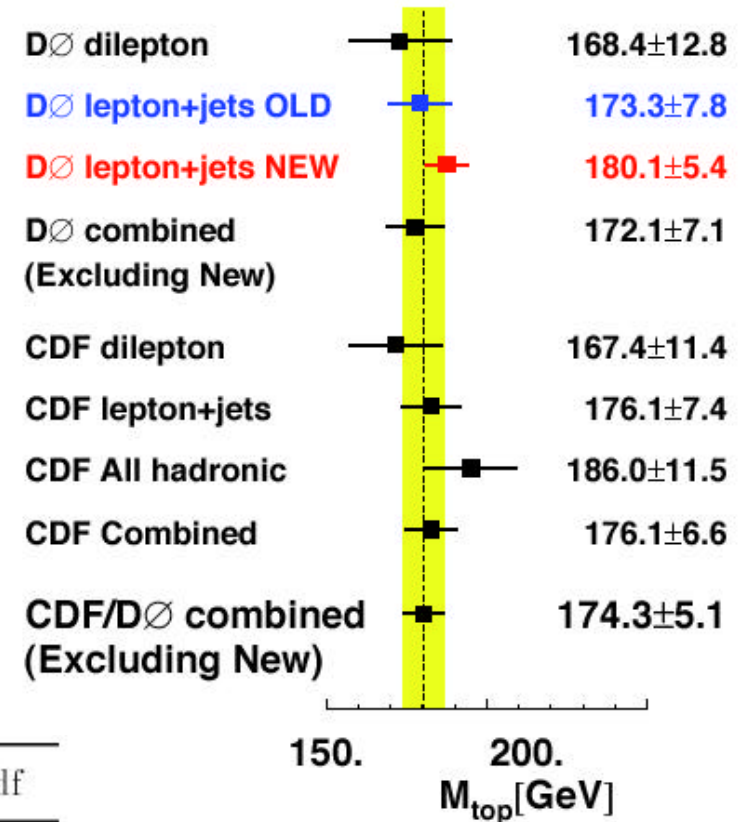
$$m_t = 175.0_{-16.9}^{+17.4} \pm 7.9(\ell\ell)$$

## New (Preliminary) D0 Run I Result

$$m_t = 180.1 \pm 3.6 \pm 4.0 \text{ GeV}$$

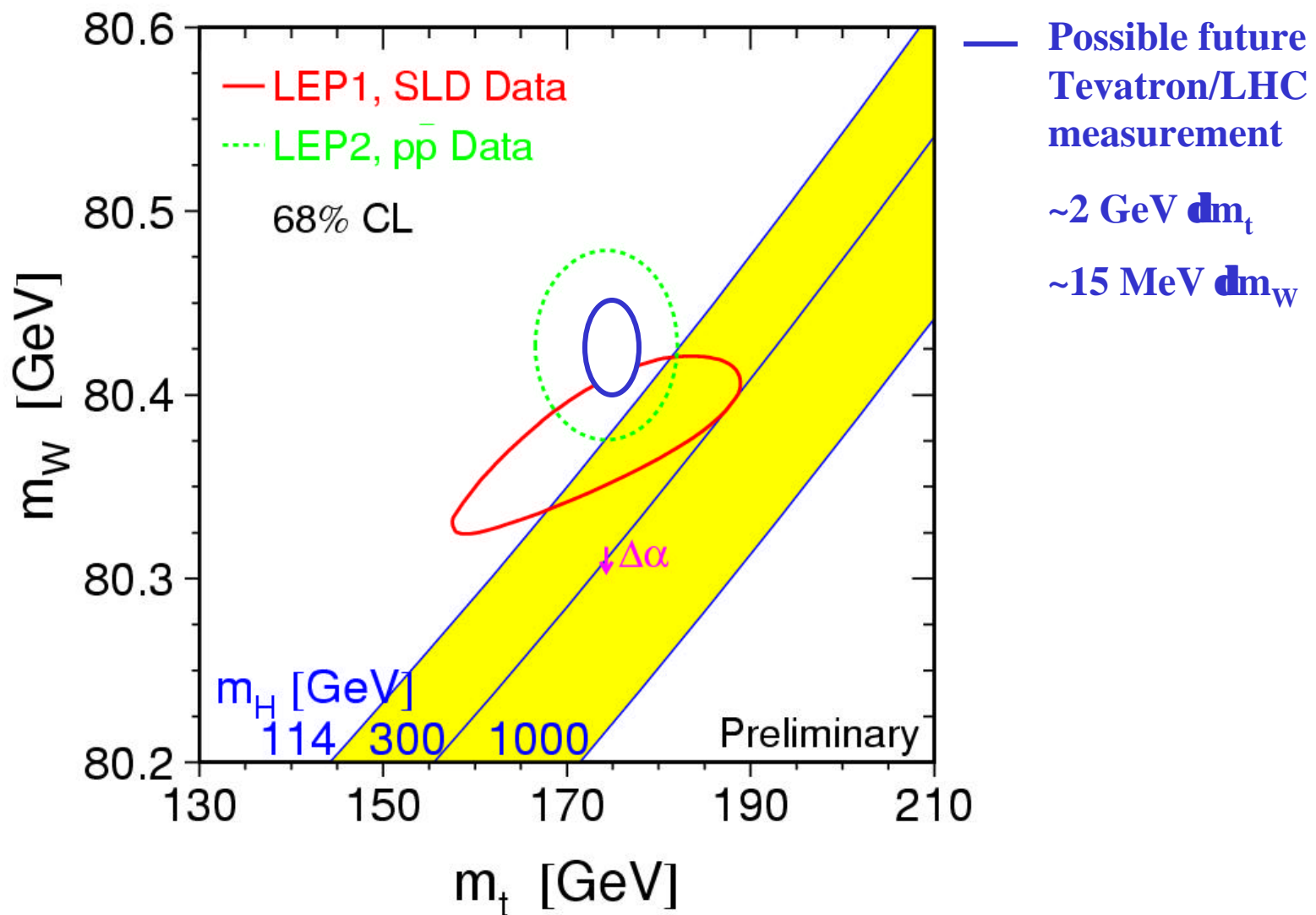
P. Renton hep-ph/0206231

fit	$m_H$ GeV	$\chi^2/\text{df}$
now: $\delta m_t = \pm 5.1 \text{ GeV}$ , $\delta m_W = \pm 33 \text{ MeV}$	$85_{-34}^{+54}$	29 / 15
if $\delta m_t = \pm 2.0 \text{ GeV}$ , $\delta m_W = \pm 33 \text{ MeV}$	$83_{-28}^{+38}$	29 / 15
if $\delta m_t = \pm 5.1 \text{ GeV}$ , $\delta m_W = \pm 15 \text{ MeV}$	$67_{-27}^{+40}$	34 / 15
if $\delta m_t = \pm 2.0 \text{ GeV}$ , $\delta m_W = \pm 15 \text{ MeV}$	$50_{-16}^{+21}$	35 / 15
if $\delta m_t = \pm 1.0 \text{ GeV}$ , $\delta m_W = \pm 10 \text{ MeV}$	$35_{-10}^{+12}$	38 / 15



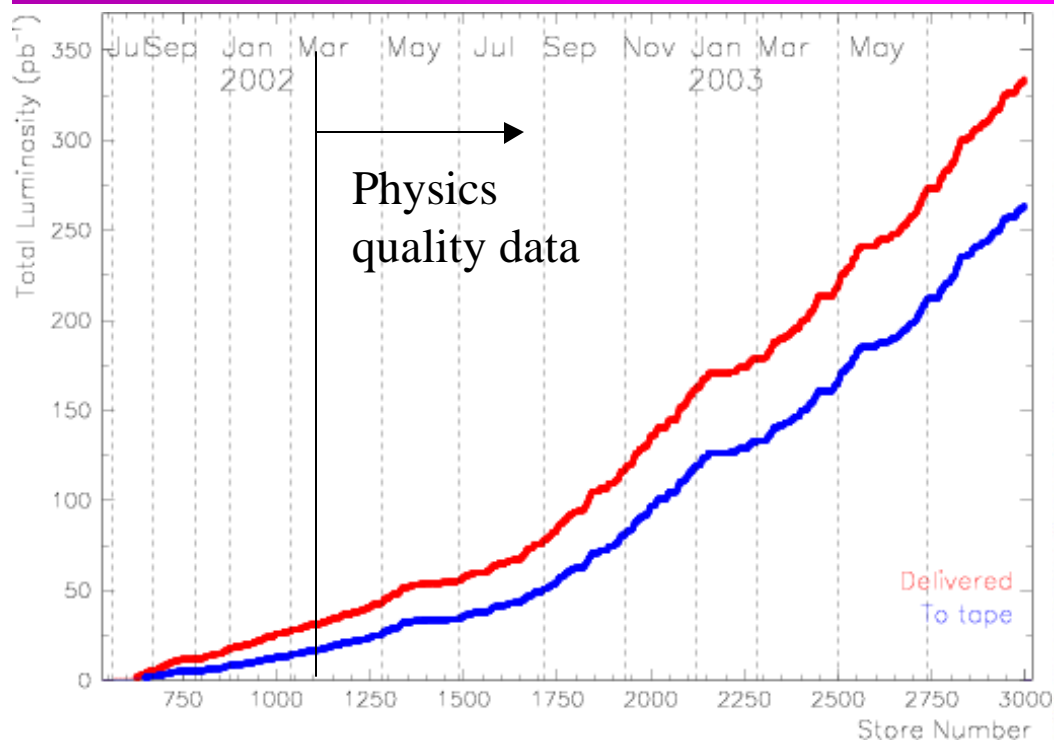


# Future Prospects





# The Tevatron

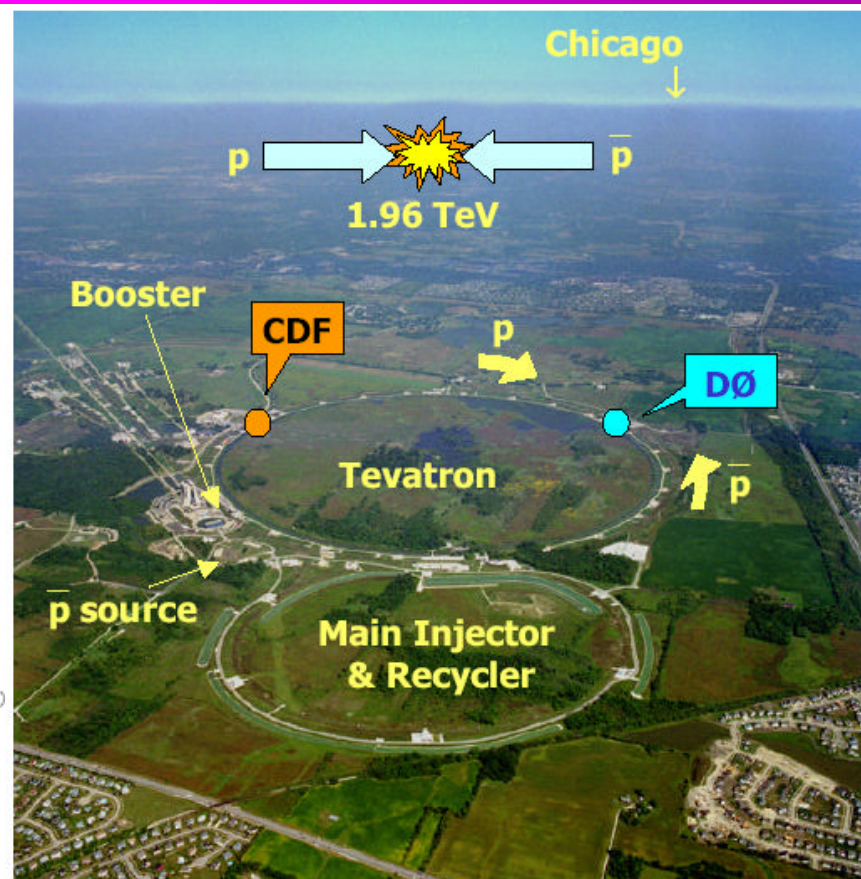


	Integrated Luminosity (fb <sup>-1</sup> )			
	Design Projection		Base Projection	
	per year	Accumulated	per year	Accumulated
FY03	0.22	0.30	0.20	0.28
FY04	0.38	0.68	0.31	0.59
FY05	0.67	1.36	0.39	0.98
FY06	0.89	2.24	0.50	1.48
FY07	1.53	3.78	0.63	2.11
FY08	2.37	6.15	1.14	3.25
FY09	2.42	8.57	1.16	4.41

November 21, 2003

~330 pb<sup>-1</sup>  
delivered to  
date

~260 pb<sup>-1</sup> on tape



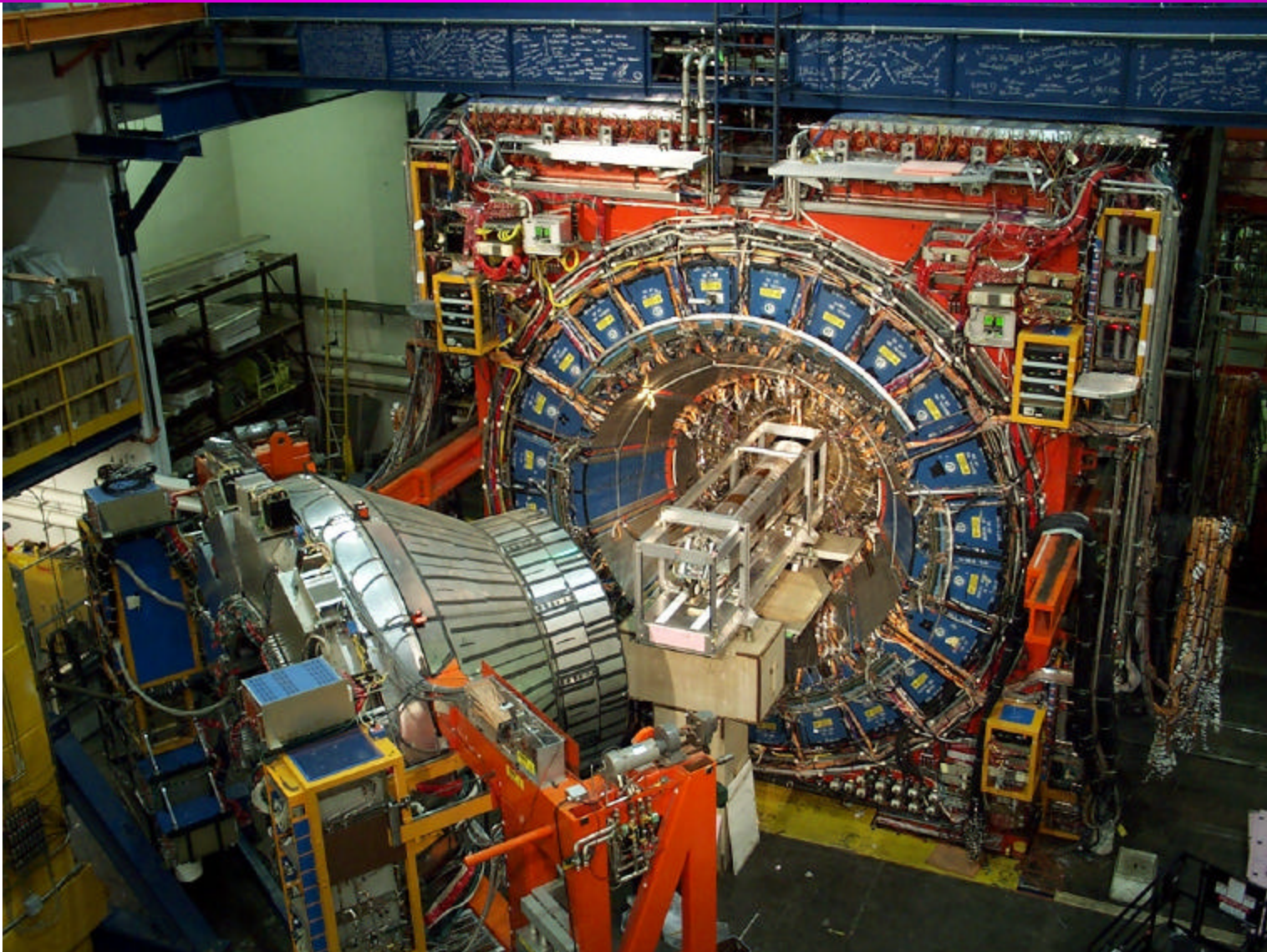
~180 pb<sup>-1</sup> for l+jets mass w/ silicon now

~500 pb<sup>-1</sup> for Spring 2005 thesis?





# Snapshot of CDF (Installing the SVX)



November 21, 2003

A. Gibson, UC Berkeley Qualifying Exam

Page 10





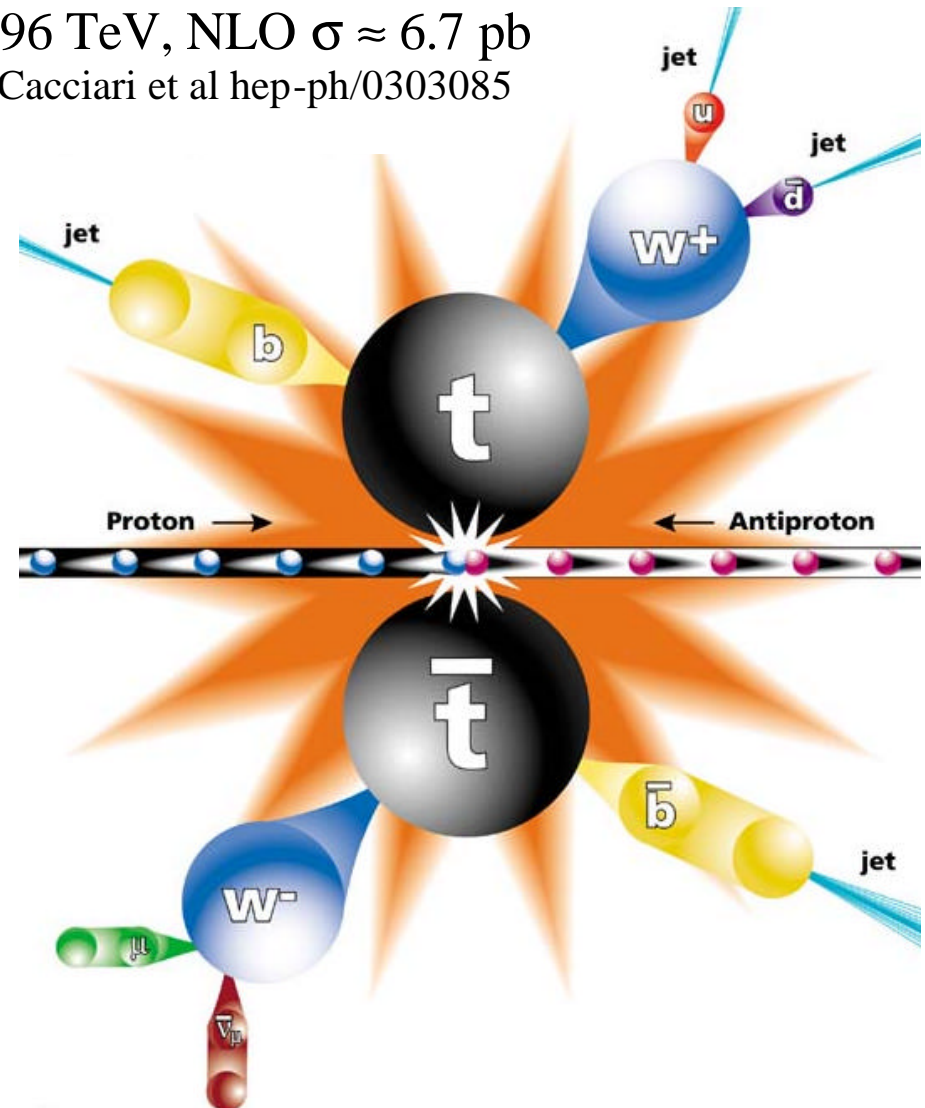
# t-t̄ Overview



- Production in p-pbar collisions at  $\sqrt{s} = 1.96$  TeV, NLO  $\sigma \approx 6.7$  pb  
Cacciari et al hep-ph/0303085
- 85% q-qbar, 15% gluon fusion
- $\Gamma_t \approx 1.4$  GeV,  $\tau \approx 10^{-24}$  s
- Leptons (e,  $\mu$ ) well measured
- Quarks (jets) poorly measured
  - And much QCD background
  - B quarks (mesons) taggable
- Neutrinos don't interact in detector
  - Measured indirectly

## t-t̄ Topologies

Dilepton (e, $\mu$ )	1+jets (e, $\mu$ )	All Hadronic	Other ( $\tau$ 's)
5%	30%	45%	20%

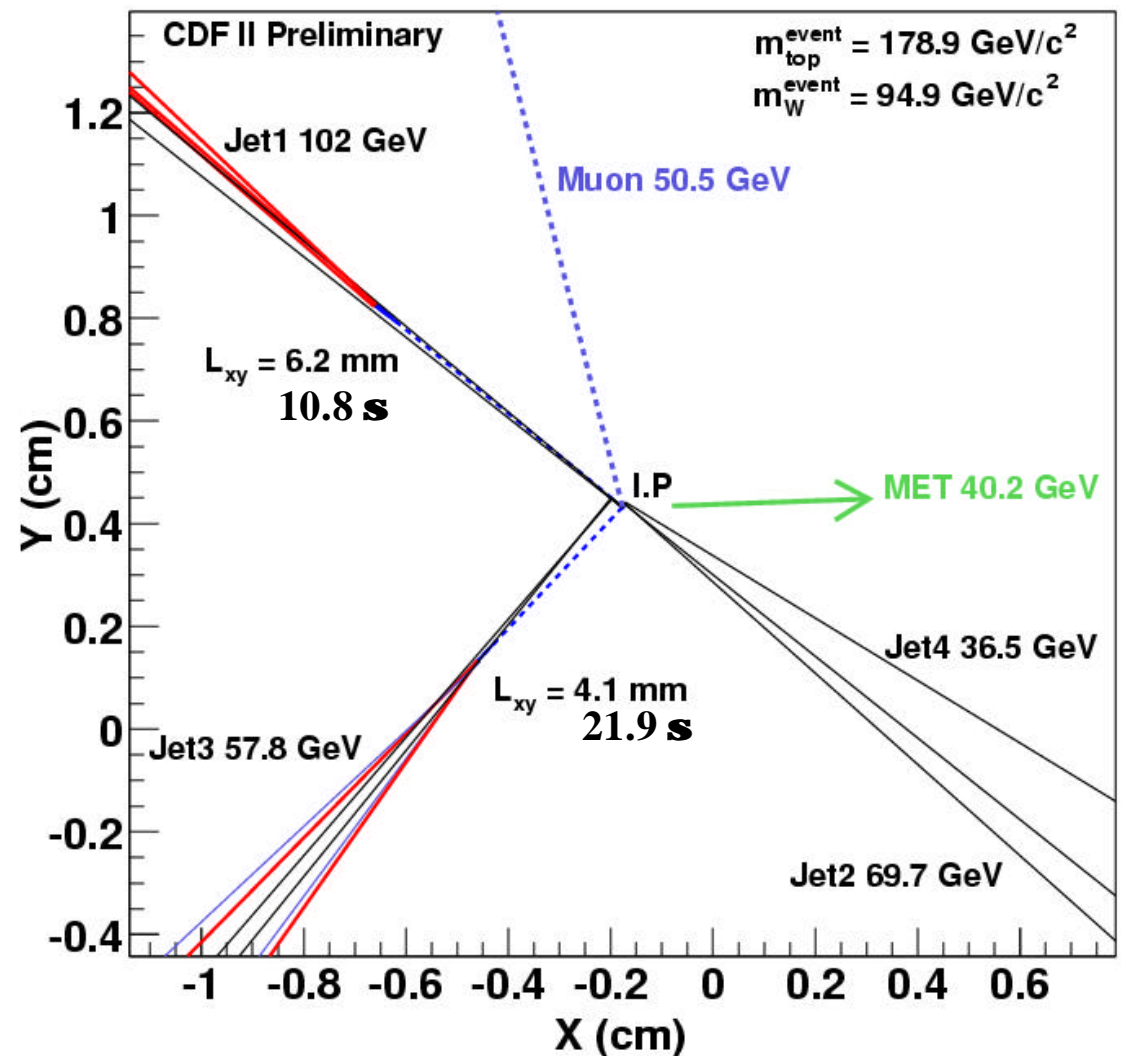




# Event Selection (CDF Run II measurement)

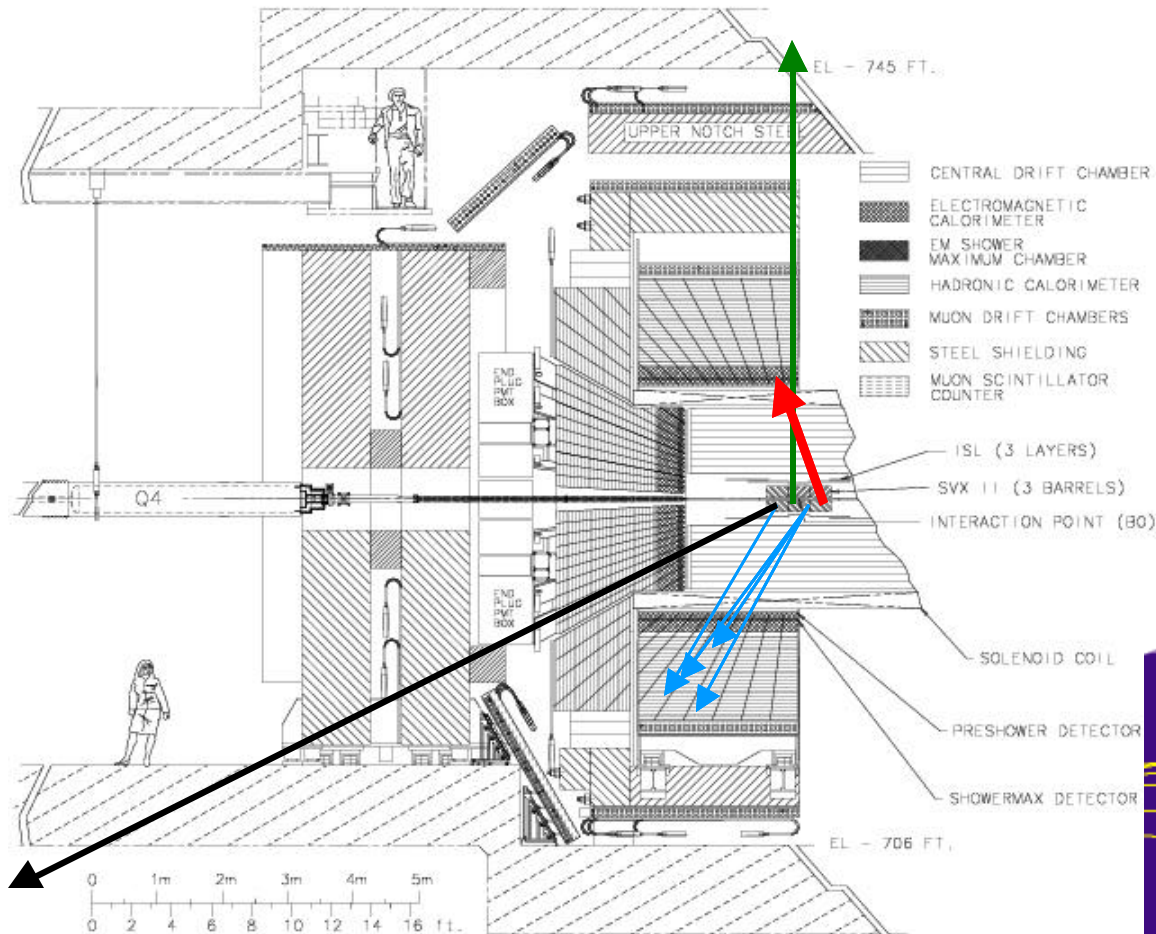


- High  $E_T$  (20 GeV) e or  $\mu$
- High  $\cancel{E}_T$  (20 GeV)
  - infer  $\nu$
- 4 High  $E_T$  jets
  - At least one w/ displaced vertex B tag
- Combinatorics – which jets are from t?
- Combinatorics and jet energy measurements make  $m_t$  a difficult measurement





# CDF Detector



**e's – EM calorimeter**

**– tracking chamber**

**$\mu$ 's – tracking chamber**

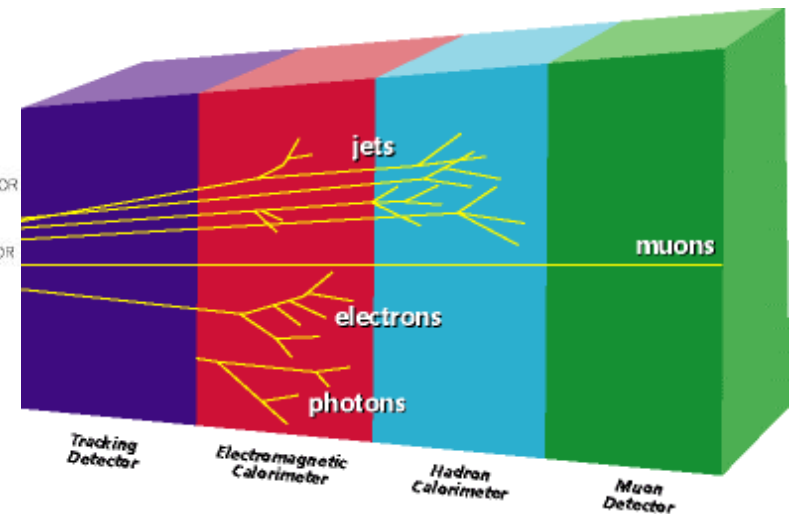
**– muon chambers**

**Jets – calorimeters**

**B Jets – calorimeters**

**– silicon detectors**

**$n$ 's – don't interact in detector**

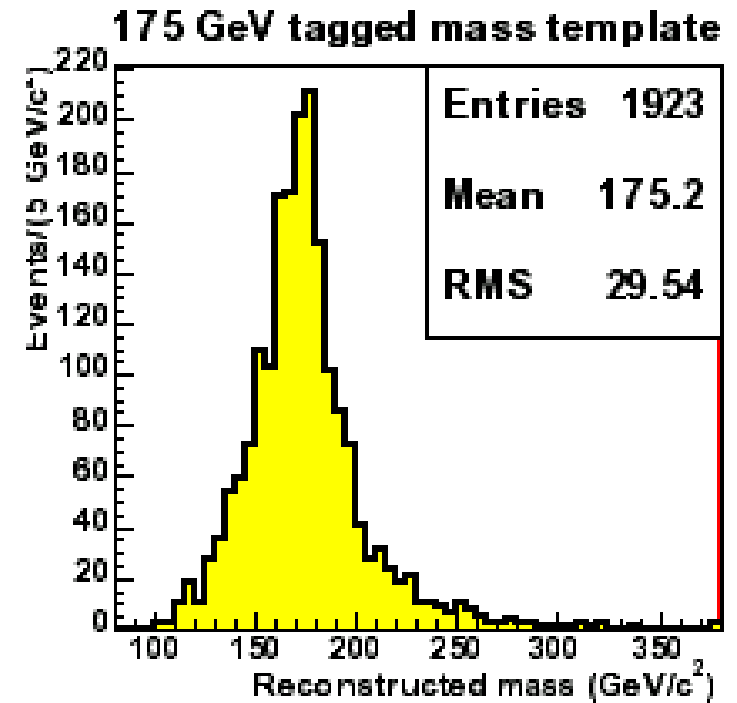




# Event by event reconstruction



- 4 jets, 1 central e or  $\mu$ , large missing  $E_T$ , at least one displaced vertex b tag
- 2x3(x2) ways to assign jets to partons with one tag, 2(x2) for double
- Enough measurements to overconstrain system
- 2-C fit to find the (one) best combination (lowest  $\chi^2$ )
- $\chi^2$  cut to help reject backgrounds



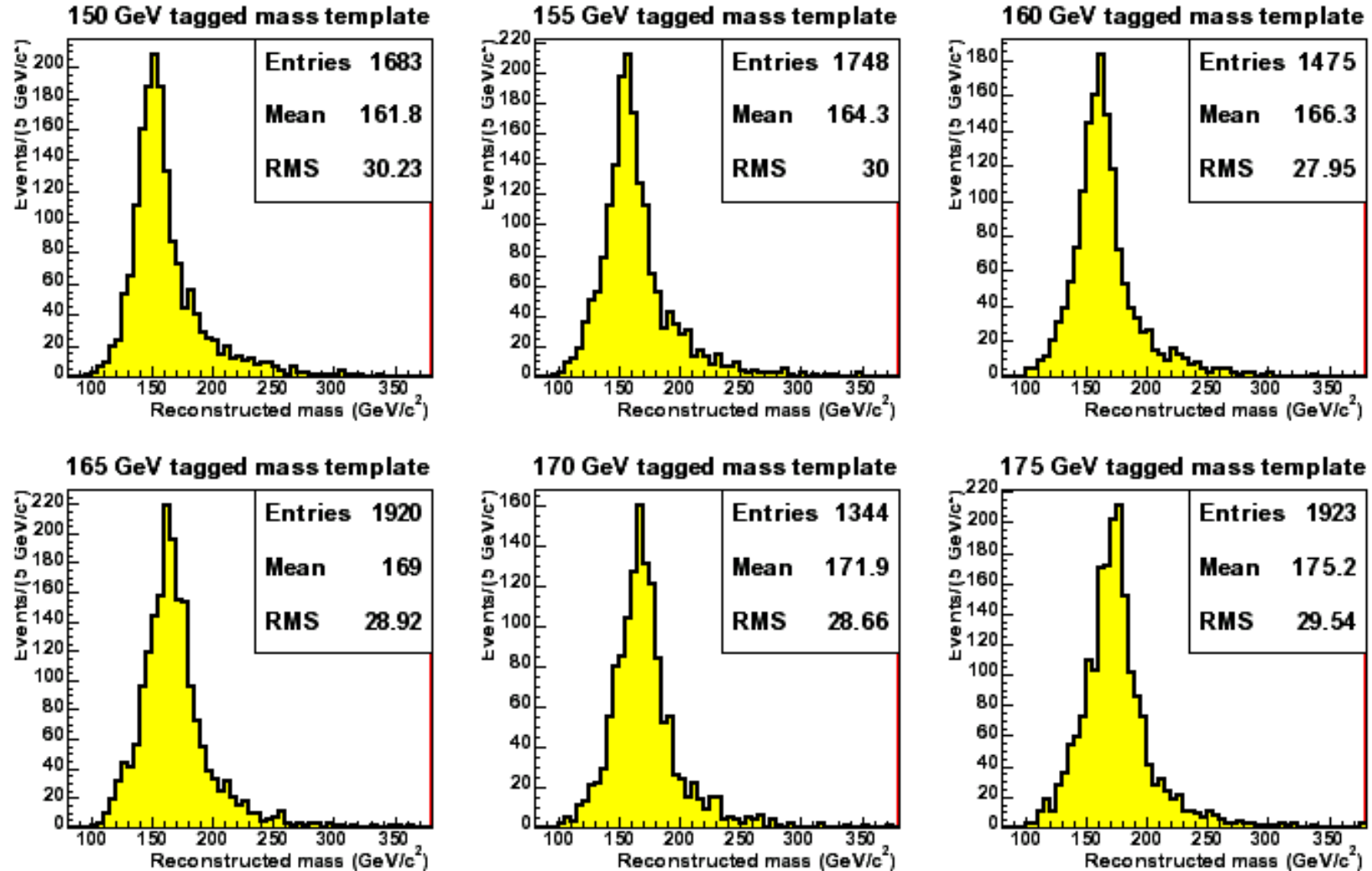
$$\begin{aligned}
 c^2 = & \sum_{i=l, jets} \frac{(p_T^{i,fit} - p_T^{i,meas})^2}{s_i^2} + \sum_{j=x,y} \frac{(p_j^{UE,fit} - p_j^{UE,meas})^2}{s_j^2} \\
 & + \frac{(M_{jj} - M_W)^2}{\Gamma_W^2} + \frac{(M_{ln} - M_W)^2}{\Gamma_W^2} + \frac{(M_{bjj} - M_t)^2}{\Gamma_t^2} + \frac{(M_{bln} - M_t)^2}{\Gamma_t^2}
 \end{aligned}$$



# Build Mass Templates for Various Masses



CDF Run II Preliminary





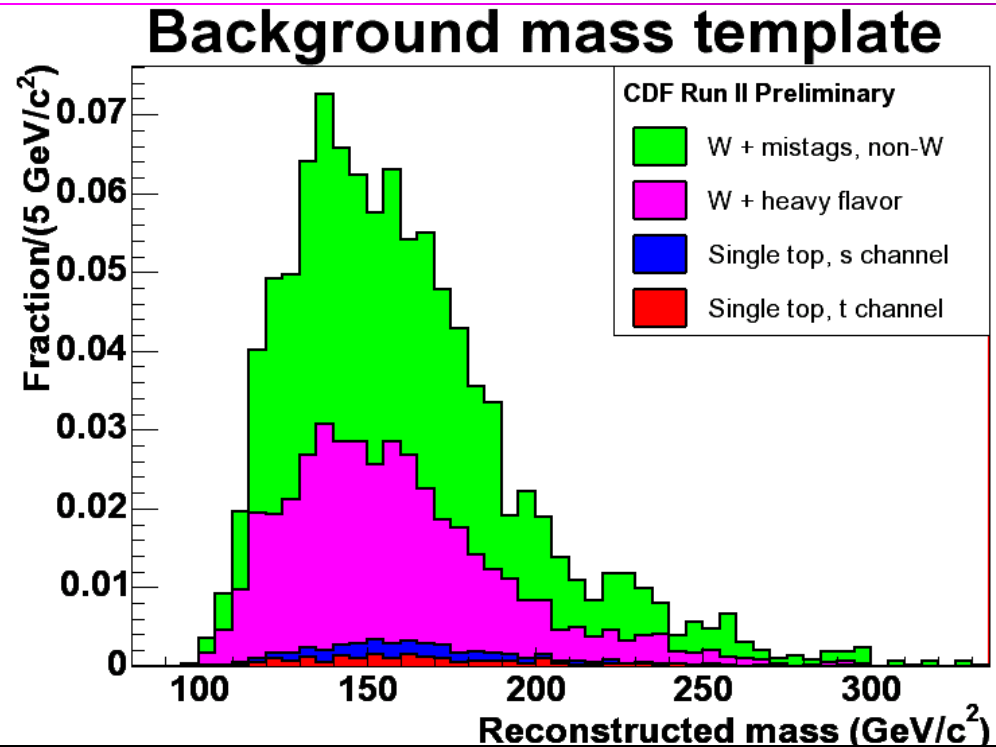
# Backgrounds (For 22 Events in Data)



Source	Events	Approximate $\sigma$
W + jets (mistags)	$2.25 \pm 0.32$	33 pb
Wbbar	$1.71 \pm 0.51$	0.74 pb
Wccbar	$0.72 \pm 0.25$	1.39 pb
Wc	$0.63 \pm 0.13$	1.96 pb
WW/WZ	$0.20 \pm 0.06$	
Non-W (QCD)	$2.4 \pm 0.36$	Very Large
Single top	$0.4 \pm 0.04$	
Total	$8.31 \pm 0.76$	

Compare to tbar cross section of  $\sim 7$  pb

- b tagging involves a choice between efficiency and fake rate
  - Choice determines background composition
- Overall S:B is 2.7:1
  - 16:6



Background events with $\chi^2 < 10$		
Mass Template Source	Background Source	Number of Events
W + jets (mistags)	Mistags, QCD	$3.42 \pm 0.36$
Wbbar	Wbbar, Wccbar, Wc, WW/WZ	$2.26 \pm 0.41$
Single top: s channel		$0.16 \pm 0.01$
Single top: t channel		$0.11 \pm 0.01$
Total		$5.94 \pm 0.55$

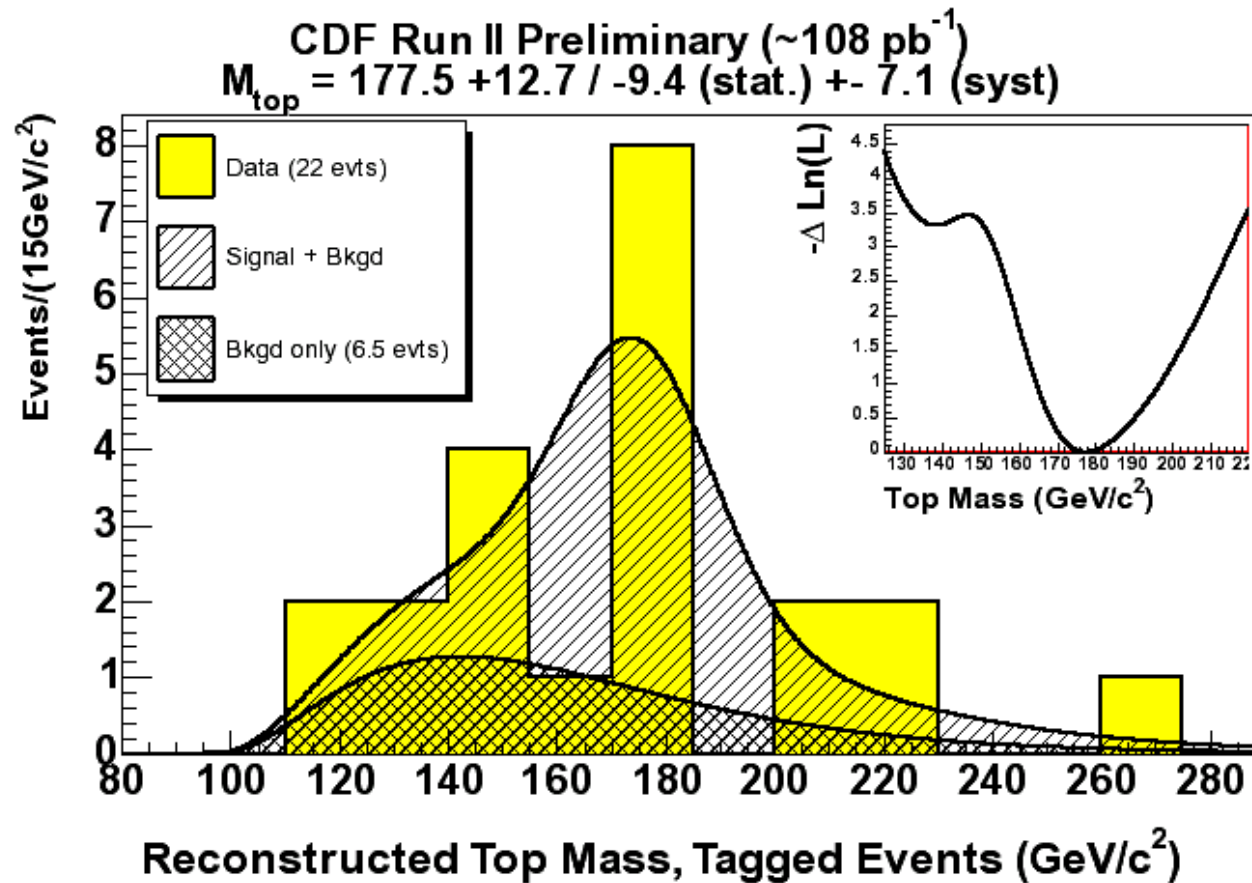




# Final Fit: Shape Comparison of Data to MC Gives $m_t$



- Signal shape parameterized, and as function of top mass.
- Background shape parameterized
- Unbinned likelihood fit to parameterized templates, with a background constraint





# Systematics



- Jet energy measurement leads to dominant systematic
- Initial State and Final State Radiation (ISR/FSR) since gluons affect jet energy, top and W mass, etc.
  - Run I numbers (turn on, off) for now.
- PDF's use CTEQ6M eigenvector sets

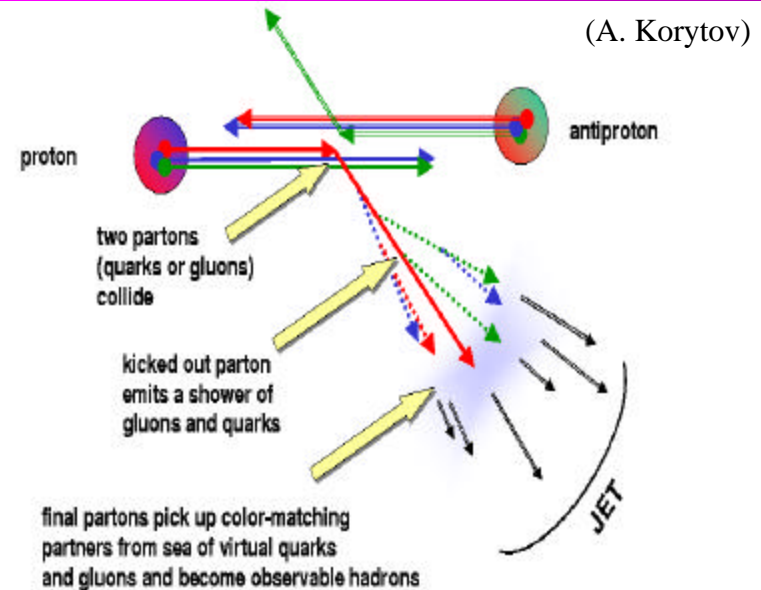
Source of Syst.	$\Delta M_{top}$ (GeV/c <sup>2</sup> )
Jet Energy	6.2
ISR	1.3
FSR	2.2
Generators	0.5
PDFs	2
Other MC modeling (Jet Resolution, $p_T^{top}$ )	1
Background Shape	0.5
$b$ -tagging	0.1 (Run I)
Total	7.1



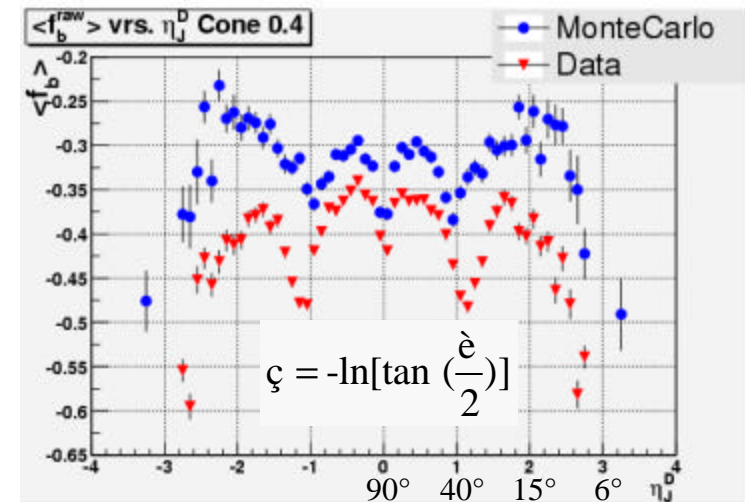
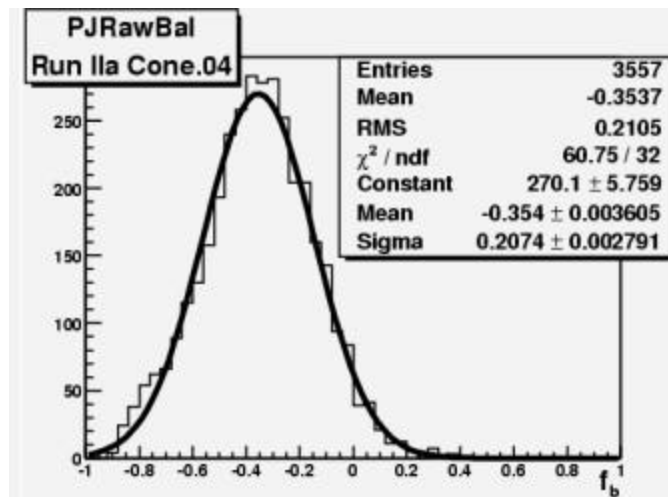
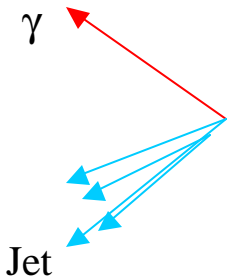
# Understanding Jets



- Quarks and gluons appear in the calorimeter as jets: collection of hadrons
  - Mostly  $\pi$ 's
- Reconstruct  $m_t$  in terms of parton energies, want to correct jets back to parton level
- Difficult to calibrate at low particle energies typical in jets
  - Cracks in detector, Non-linearities
  - Understanding fragmentation
  - Out of cone energy



$$f_b = \frac{P_T^{\text{Jet}}}{P_T^{\tilde{a}}} - 1$$



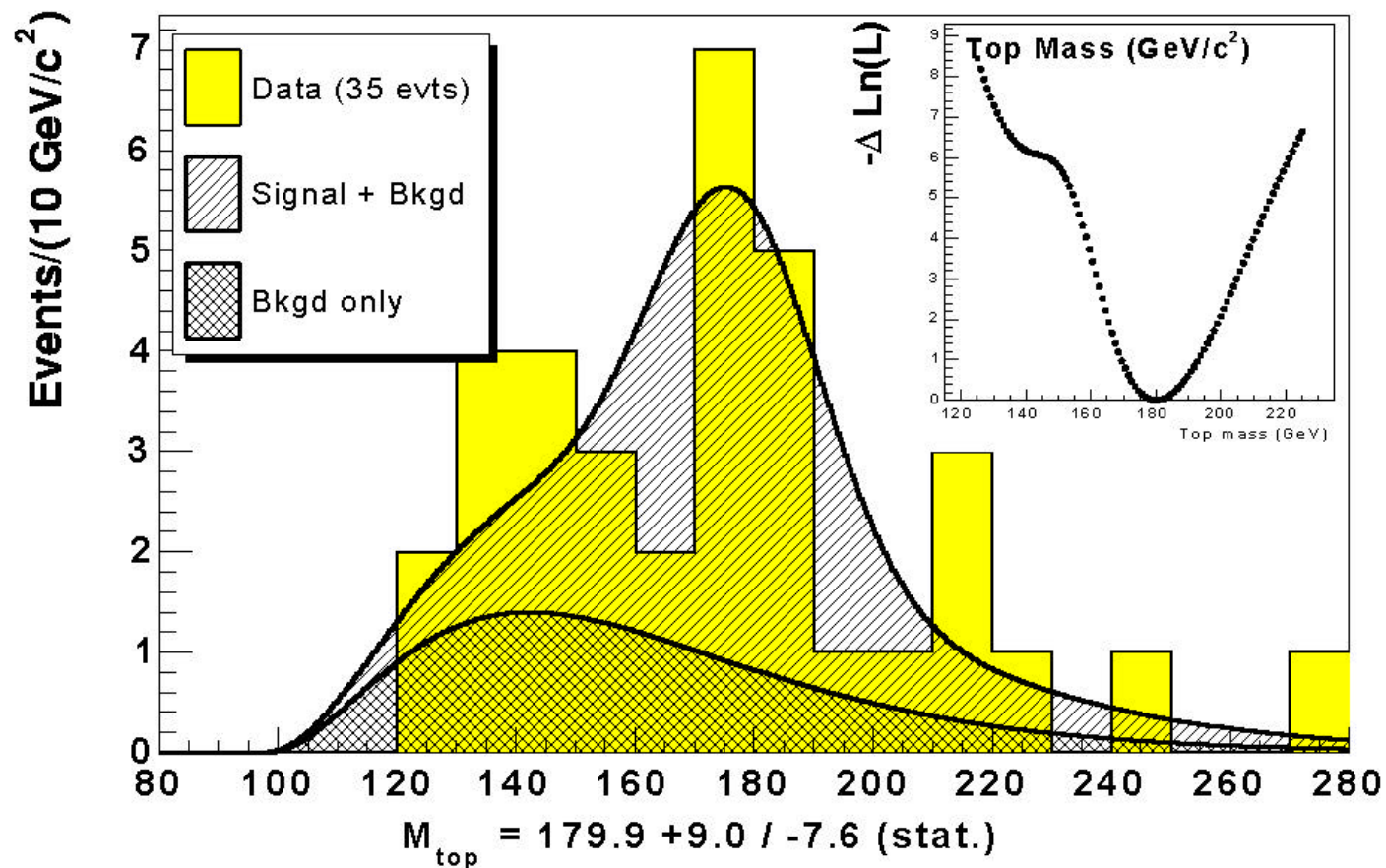


# Traditional Analysis Continues



$\sim 180 \text{ pb}^{-1}$

## Reconstructed Top Mass ( $\text{GeV}/c^2$ )





# New D0 Run I Top Mass Analysis



- Use all of the information you measure well, integrate over things you don't measure well.
- Compare to our best knowledge of the physics – compare to SM differential cross sections.
- Integrate cross sections over quark energies, using MC-extracted transfer functions to connect to measured jet energies.

$$P_{t\bar{t}}(x, m_t) = \frac{1}{S_{tot}} \int dS(y, m_t) dq_1 dq_2 f(q_1) f(q_2) W(x, y)$$

$\uparrow$   
 $|M|^2$

x measured quantities (e.g. jets)

y matrix element quantities (e.g. partons)

f(q) parton distribution functions

W(x,y) transfer functions     $q_1, q_2$  incoming quark energies

D0 1+jets (1998)  $m_t = 173.3 \pm 5.6 \text{ (stat)} \pm 5.5 \text{ (syst)} \text{ GeV}/c^2$

D0 1+jets (2003)  $m_t = 180.1 \pm 3.6 \text{ (stat)} \pm 4.0 \text{ (syst)} \text{ GeV}/c^2$



# Traditional CDF Template Method vs. New D0 Matrix Element Method



## Traditional CDF (Template)

- One  $m_t$  per event, equal weight.
- Single best-fit ( $\chi^2$ ) combination.
- Series of eight levels of jet corrections, get mean correct and assume Gaussian shape.
- Global  $m_t$  fit from likelihood fit of data to signal and background templates

## New D0 (Matrix Element)

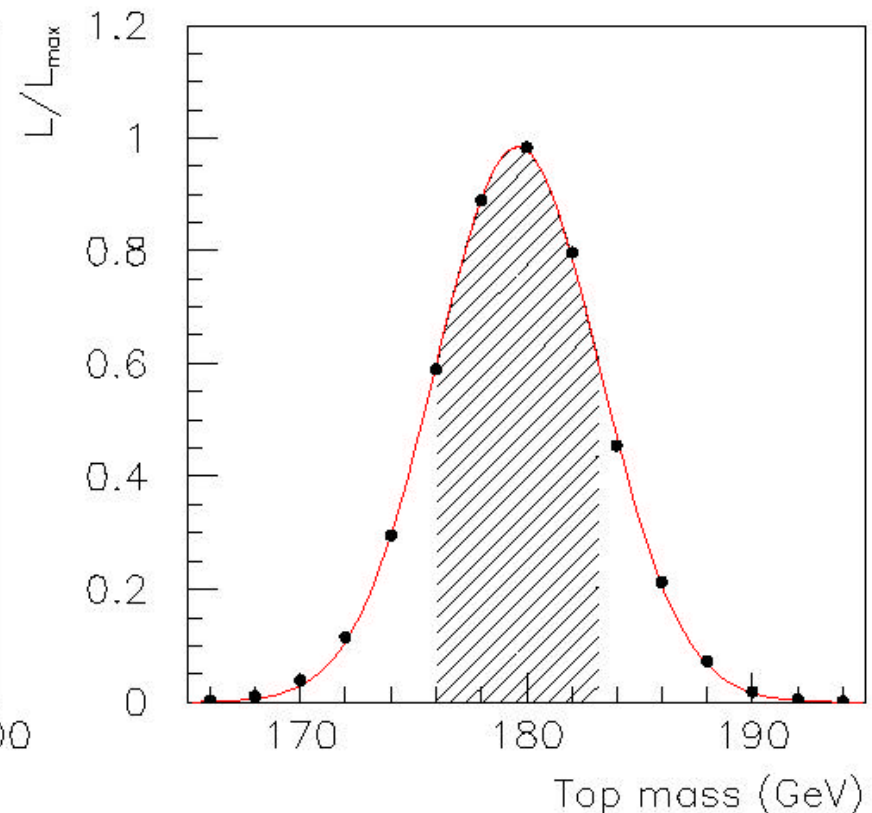
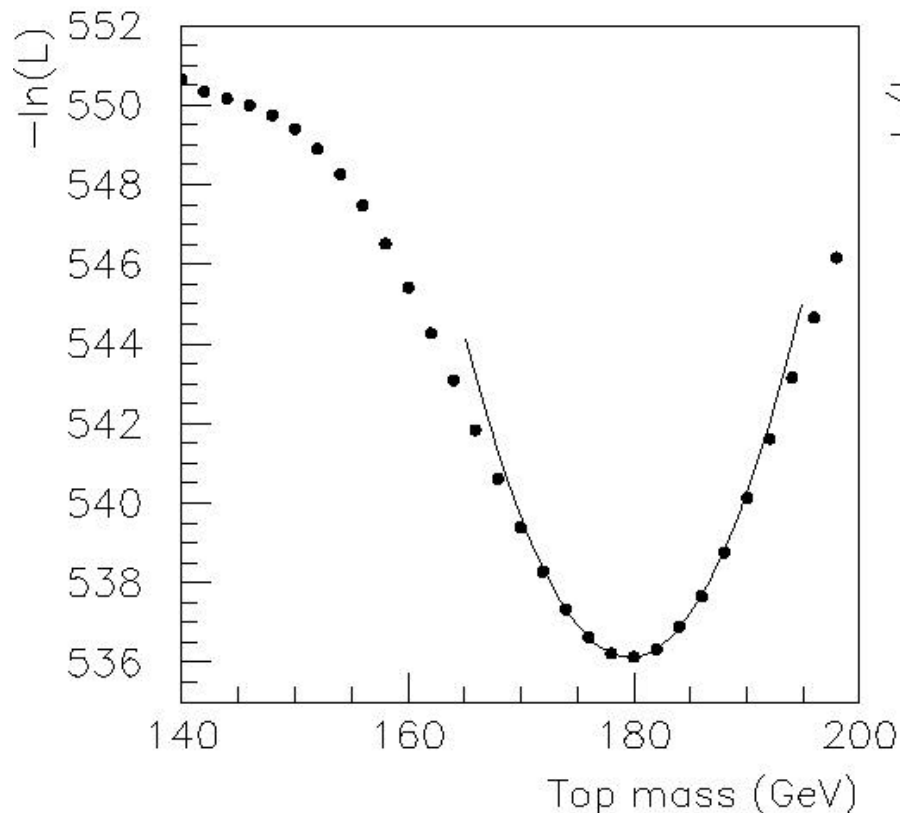
- $P(x : m_t)$  for each event, based upon comparison of fifteen kinematic variables ( $x$ ) to SM matrix elements
- All combinations weighted according to signal probability, and events combined according to signal probability
- Transfer functions connecting parton energies to jet energies in detail
- Global  $m_t$  fit from joint likelihood of signal (mass-dependent) and background (mass-independent) probabilities.







# D0 Results From Data (l+jets With No B Tag Requirement)



$$M_t = 180.1 \pm 3.6 \text{ GeV (stat)}$$

Compared with 5.6 GeV statistical error from previous D0 mass analysis.  
The statistical error you'd expect from the old D0 analysis with a  
factor of 2.4 more data.

22 events in data:  $12 \pm 3$  signal (from fit),  $10 \pm 3$  background



# Systematics at D0



- D0's new analysis has a significantly smaller systematic due to jet energies.
  - More detailed connection between jets and partons (transfer functions)
- Other systematics smaller as well
  - Using more event information, and combining events and combinations more effectively

## D0 (1998)

TABLE XXIX. Systematic uncertainty summary.

	LB (GeV/c <sup>2</sup> )	NN (GeV/c <sup>2</sup> )	Average (GeV/c <sup>2</sup> )
Jet energy scale	4.2	3.8	4.0
Generator			
<i>t</i> $\bar{t}$ signal	1.9	1.9	1.9
VECBOS flavors	2.5	2.5	2.5
Noise/MI	1.3	1.3	1.3
Monte Carlo stat.	0.6	1.1	0.85
LB/NN diff	0.8	0.8	0.8
Likelihood fit	1.0	1.0	1.0
Total	5.6	5.4	5.5

Phys. Rev. D {58} 052001 (1998)

## D0 (2003)

### Systematic Uncertainties for top quark mass

Determined from MC studies with large event samples:

Signal model	1.5 GeV/c <sup>2</sup>
Background model	1.0 GeV/c <sup>2</sup>
Noise and multiple interactions PRD 58 52001, (1998)	1.3 GeV/c <sup>2</sup>

Determined from data:

Jet Energy Scale	3.3 GeV/c <sup>2</sup>
Parton Distribution Function	0.2 GeV/c <sup>2</sup>
Acceptance Correction	0.5 GeV/c <sup>2</sup>

Total systematic error 4.0 GeV/c<sup>2</sup>



# Applying D0's Methods at CDF



- Very similar methods have been proposed by CDF members (Kondo) and others (Dalitz and Goldstein)
  - Studied in Run I at CDF, but no mass measurement published.
  - Dynamical Likelihood Method work well underway in Run II
- No magnetic field at D0 Run I
  - Muons poorly measured, integrated over.
- Poor or no silicon coverage at D0 Run I
  - 2003 mass analysis didn't use displaced vertex tags.
  - Easy to use binary SVX tags at CDF, more in keeping with the method to use a tag probability. Either way should help dramatically reduce backgrounds. But, there may be more backgrounds to consider (more matrix elements).
- Straightforward to add extra signal and background matrix elements.
- More difficult to incorporate extra matrix element with gluon radiation, either just extra diagrams or full NLO calculation



# D0-Style Transfer Functions at CDF



- We have eight levels of jet corrections at CDF to get from jets back to parton-level quantities.
  - In general, their goal is to get the mean right, while assuming a gaussian shape
  - Our transfer functions use jets that have been corrected back to particle-jet level (detector effects removed) (level 5 of 8)
  - The goal is to start with partons, and accurately model the distribution of jet energies (shape as well as mean)
- | PartonMinusBJetL5Ecc |       |
|----------------------|-------|
| Entries              | 3714  |
| Mean                 | 11.1  |
| RMS                  | 14.35 |
- $E_{\text{parton}} - E_{\text{jet}} \text{ (GeV)}$   
for B jets from  $t\bar{t}$  MC



# Transfer Function $W(E_{\text{parton}}, E_{\text{jet}})$



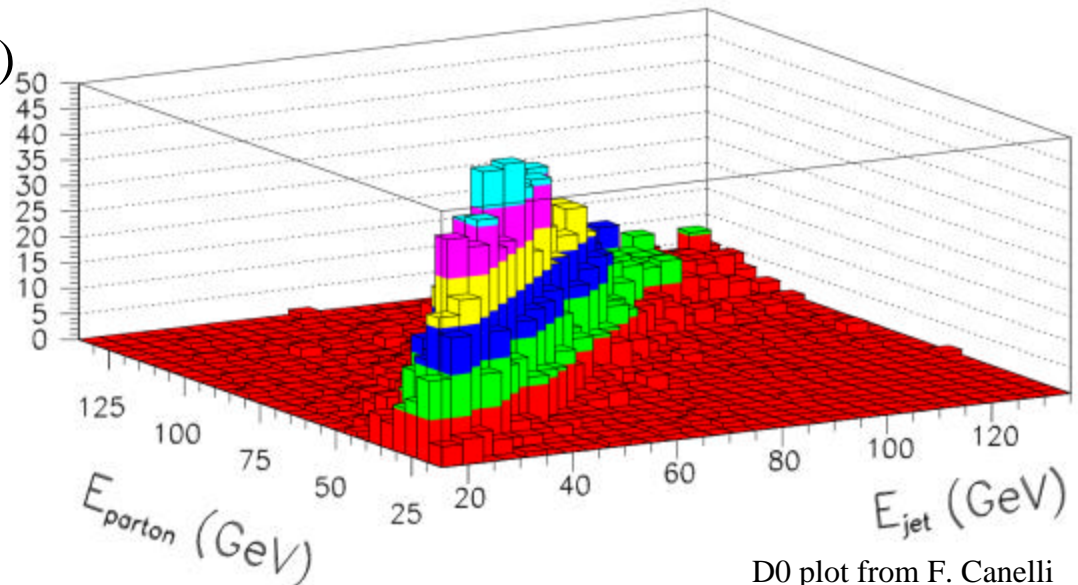
$$n(E_{\text{jet}}, E_{\text{parton}}) dE_{\text{jet}} dE_{\text{parton}} = n(E_{\text{parton}}) dE_{\text{parton}} W(E_{\text{parton}}, E_{\text{jet}}) dE_{\text{jet}}$$

where

$n(E_{\text{parton}})$  is the (process dependent)  
distribution of parton energies

$W(E_{\text{parton}}, E_{\text{jet}})$  is the probability  
distribution to have  
 $E_{\text{jet}}$  given a  $E_{\text{parton}}$

So, we hope to separate the  
process-dependent  $n(E_{\text{parton}})$  from  
the largely process-independent  
 $W(E_{\text{parton}}, E_{\text{jet}})$



$$\mathbf{d}_E = E_{\text{parton}} - E_{\text{jet}}$$

$$F(\mathbf{d}_E) = \frac{1}{\sqrt{2\mathbf{p}} (p_2 + p_3 p_5)} \left[ \exp \frac{-(\mathbf{d}_E - p_1)^2}{2p_2^2} + p_3 \exp \frac{-(\mathbf{d}_E - p_4)^2}{2p_5^2} \right]$$

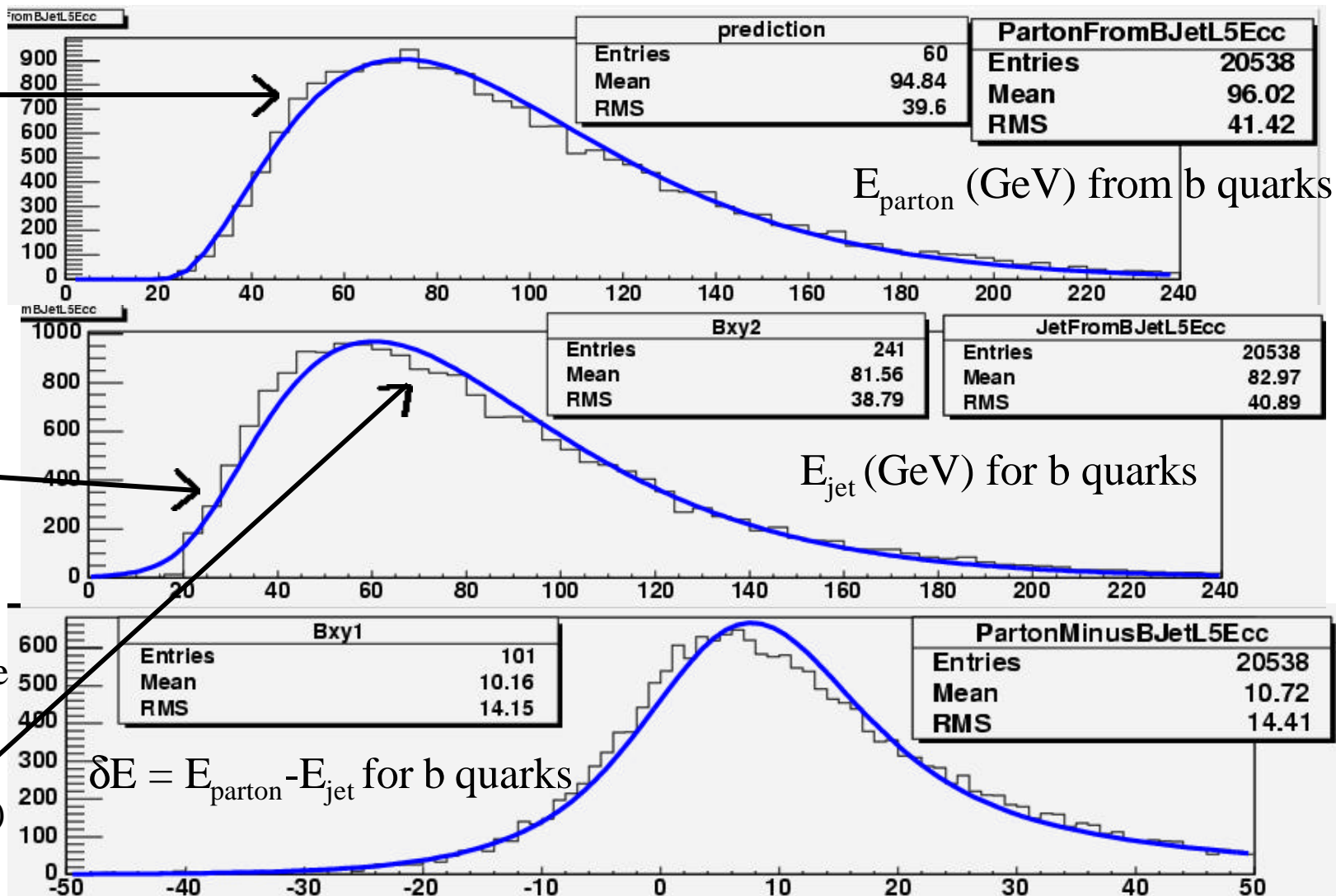




# Testing the transfer functions



Parton information along with the transfer functions (previous page) allow us to make predictions (blue curves) of jet level quantities, and compare with simulation (histograms)



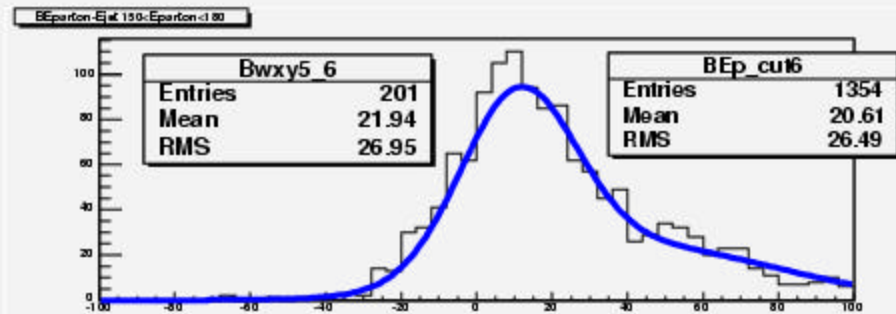
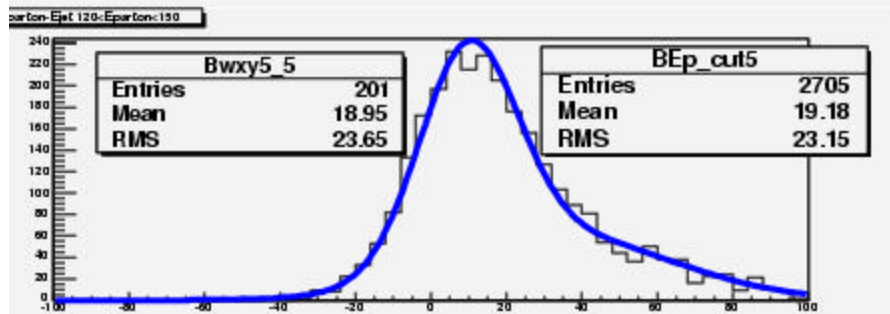
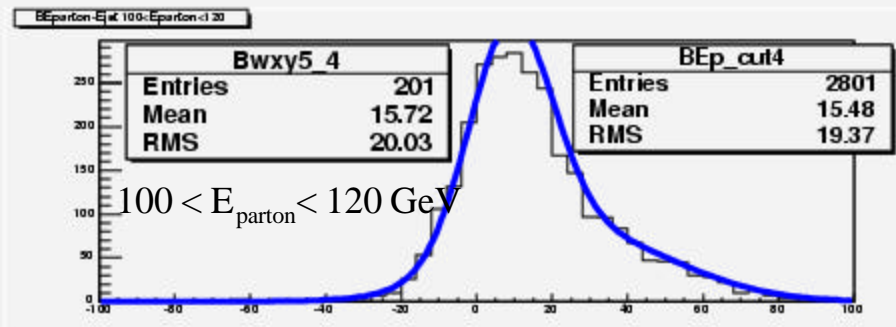
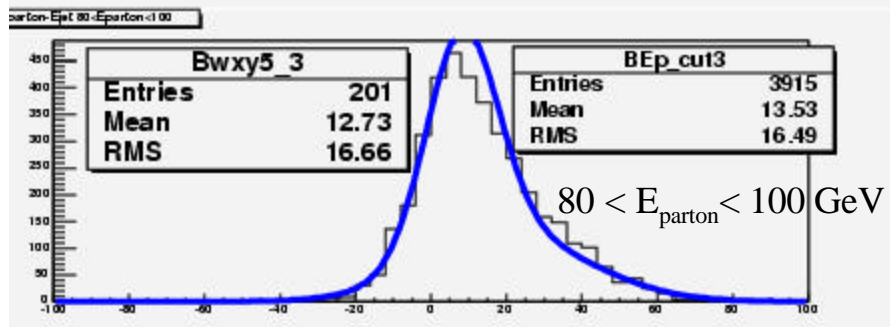
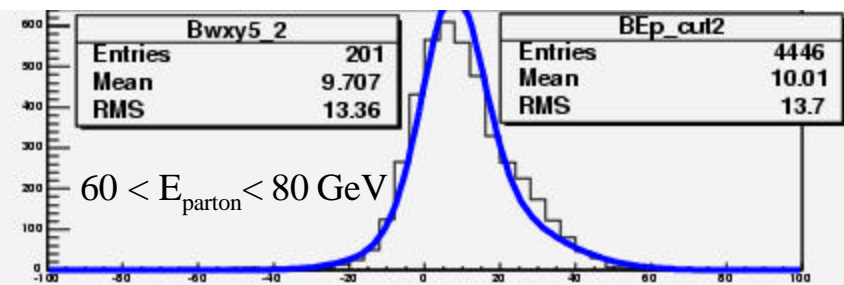
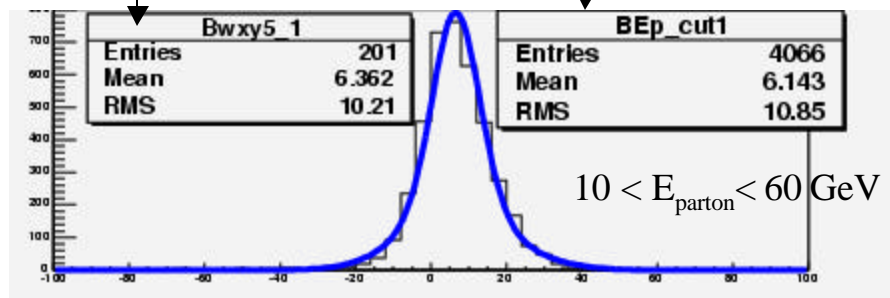


$$\delta E = E_{\text{parton}} - E_{\text{jet}} \text{ (GeV) for b quarks}$$



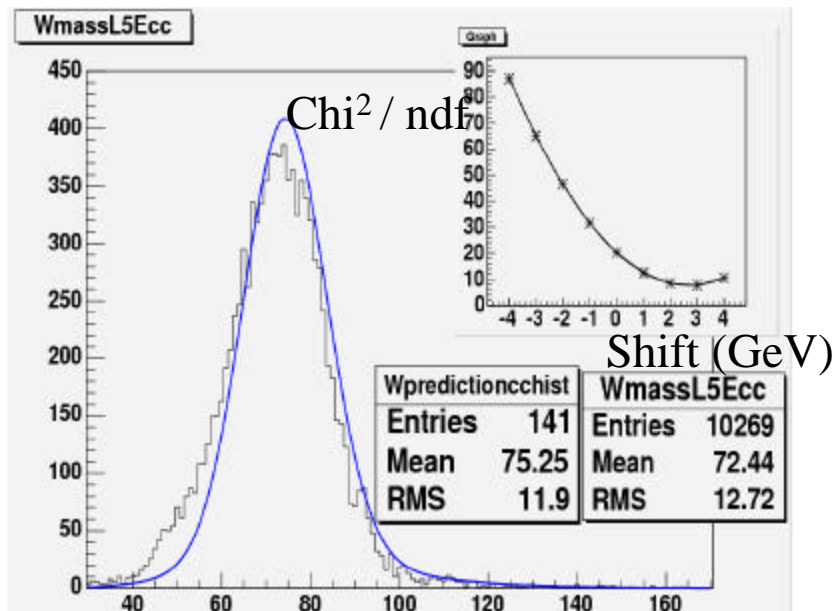
prediction

simulation, reconstruction

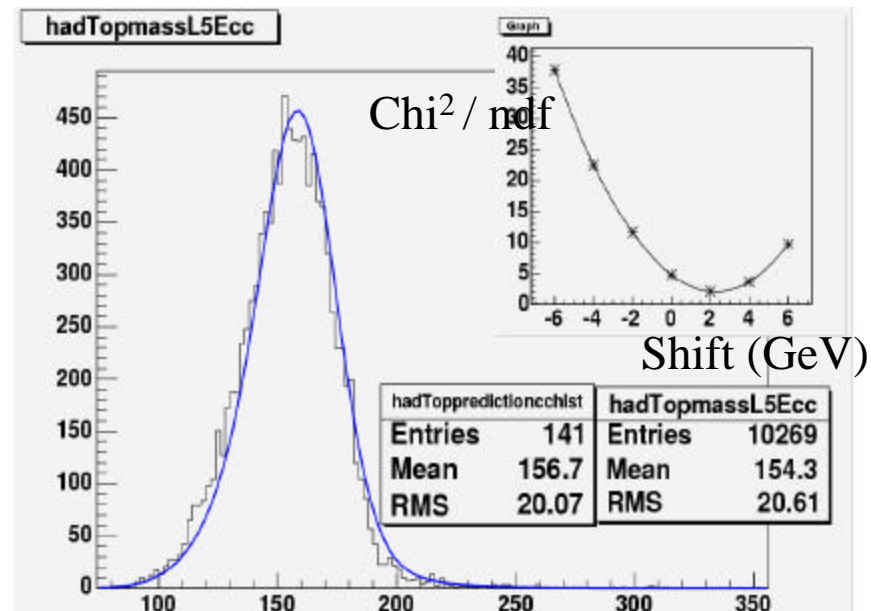




# Hadronic W and top mass from transfer functions – correct combination



Hadronic W mass (GeV)



Hadronic top mass (GeV)

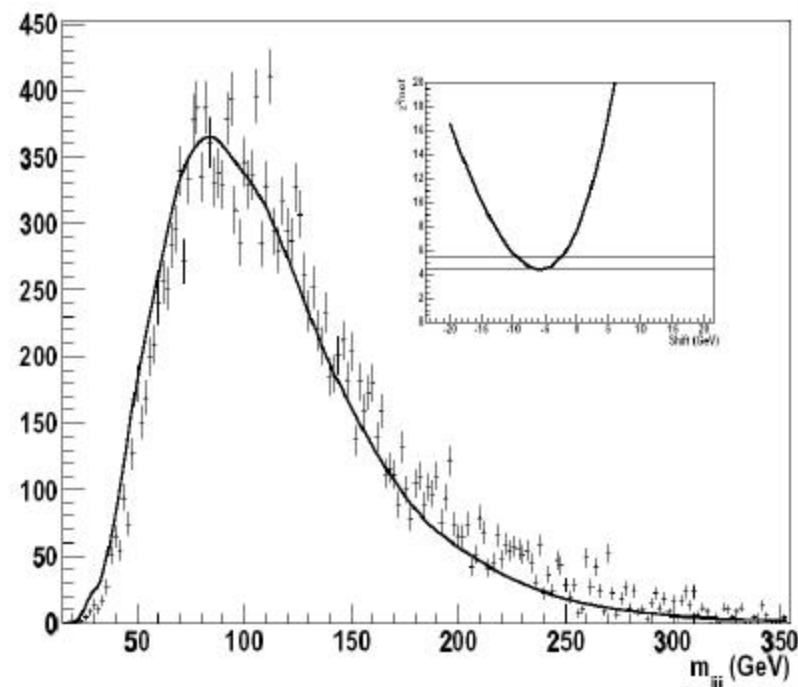
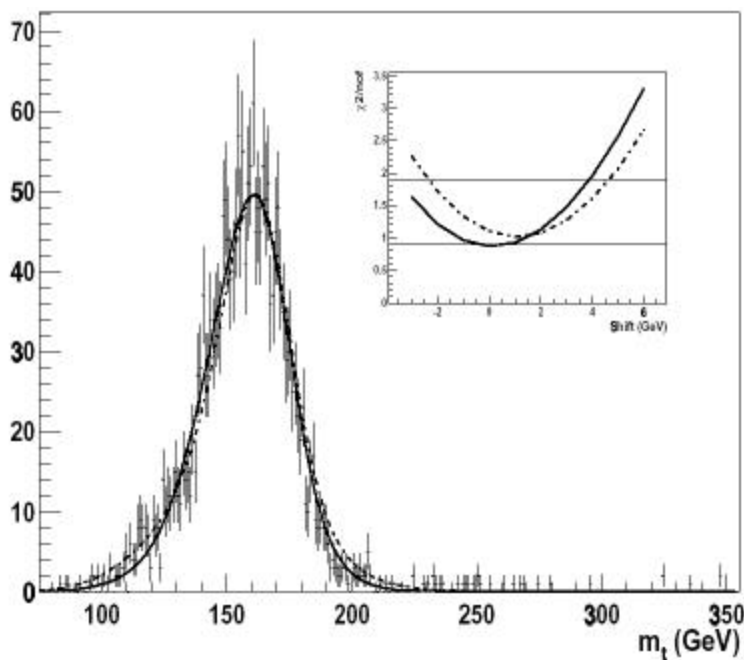
- Histogram from simulated, reconstructed Herwig jets.
- Blue curve** is prediction from transfer function, using parton level Herwig.
- Inset is  $\chi^2$  as we shift the histogram against the prediction.
- Prediction is systematically high.



# D0 transfer function tests



- Examples of the transfer functions D0 used for their analysis
- D0 saw a small bias also and was able to show that it didn't significantly affect the final top mass measurement (took a 0.5 GeV shift)
- Showed that  $t\bar{t}$  transfer functions worked with background samples  
     $t\bar{t}$  MC events, hadronic top mass      W+jets MC events, 3 jet invariant mass





# Expected reach with $\sim 500 \text{ pb}^{-1}$



- 1-D Template Method, Run I CDF Method
  - Stat error 4.1 – 5.0 GeV (scale mean expected Run II error, scale current Run II error)
  - Syst error: with no brand new methods ( $W \rightarrow qq$ ,  $Z \rightarrow bb$  calib) perhaps 5.0 GeV, with new methods, and reinterpretation of ISR/FSR, perhaps 3.0 GeV
  - Total error 5.1 – 7.1 GeV
- Matrix element method
  - Stat error 2.6 – 3.2 GeV (scale CDF error by factor of  $\sqrt{2.4}$  more stat power)
  - Syst error – scale template method systematics by 0.73?  
2.2 – 3.7 GeV (or perhaps as large as template method, 5.0 GeV)
  - Total error 3.4 – 4.9 GeV (or 5.9 GeV)
- The lower statistical error is of short term interest (while statistics are still very limited)
  - Always nice to make your statistical error as small as possible
- Possibility for smaller systematics intriguing for the medium and long-term.





# Summary



- The top mass is interesting in and of itself
- Especially interesting as a precision EW observable
  - Constrain SM, predict SM Higgs mass
  - Constrain physics beyond the SM
- I've participated in a template based mass analysis
  - $m_t = 177.5_{-9.8}^{+12.7} (\text{stat}) \pm 7.1 (\text{syst}) \text{ GeV}/c^2 (108 \text{ pb}^{-1})$
- Will continue to contribute to important tools like  $\gamma$ -jet balancing.
- Will pursue a matrix-element based analysis with the prospect of substantially improving the statistical power of the data we collect while also lowering the systematic uncertainty.
  - $m_t = 1xx.x \pm 2.6 (\text{stat}) \pm 3.7 (\text{syst}) \text{ GeV}/c^2 ?? (500 \text{ pb}^{-1})$



# Backup Slides

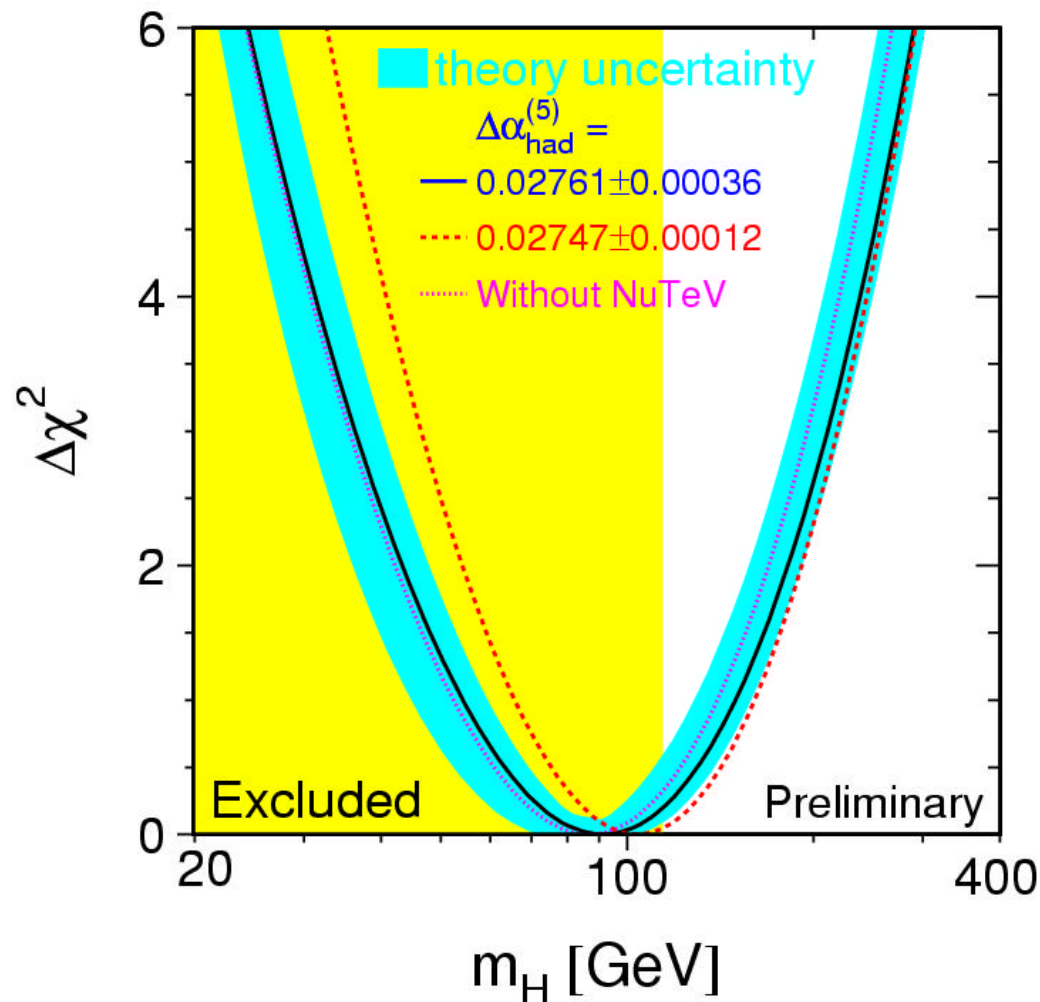




# Constrain $m_H$



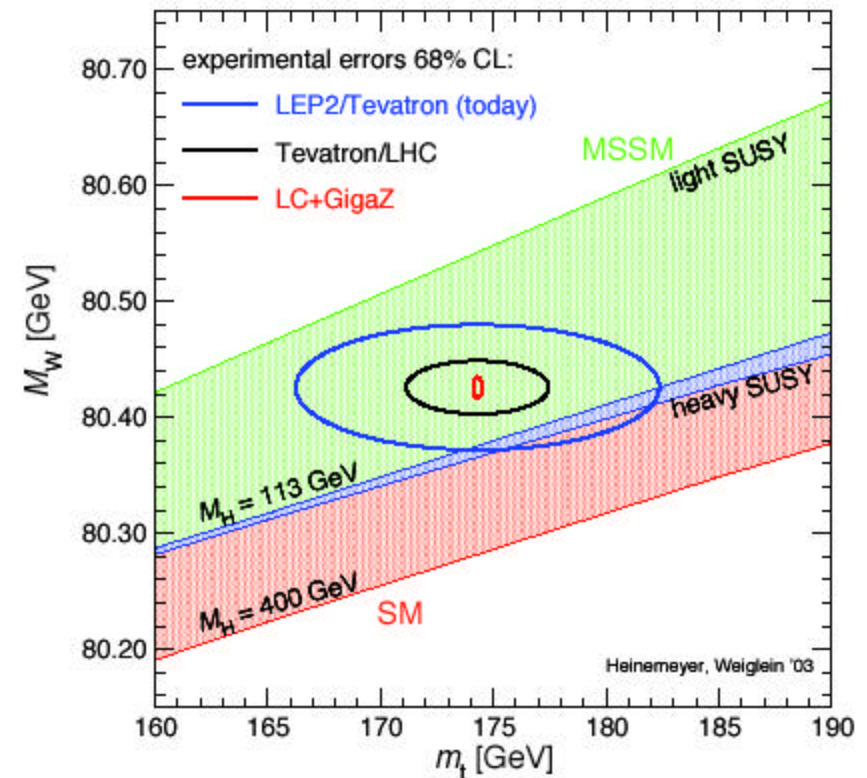
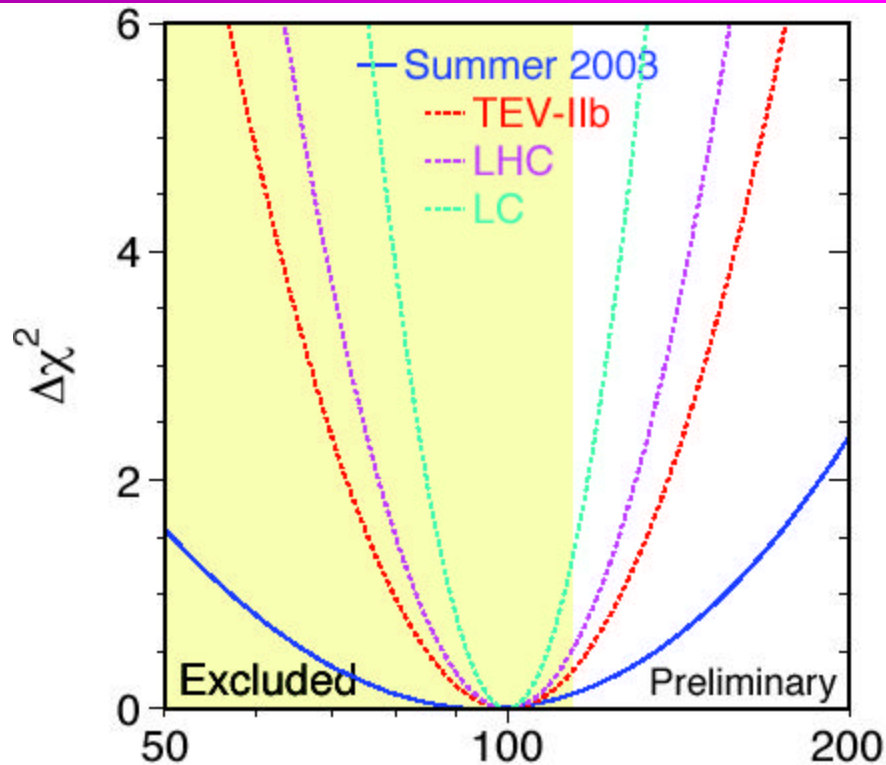
$m_H$  best fit  $96^{+60}_{-38}$  GeV,  $<219$  GeV 95% CL



LEPEWWG/2003-01



# Looking to the Future



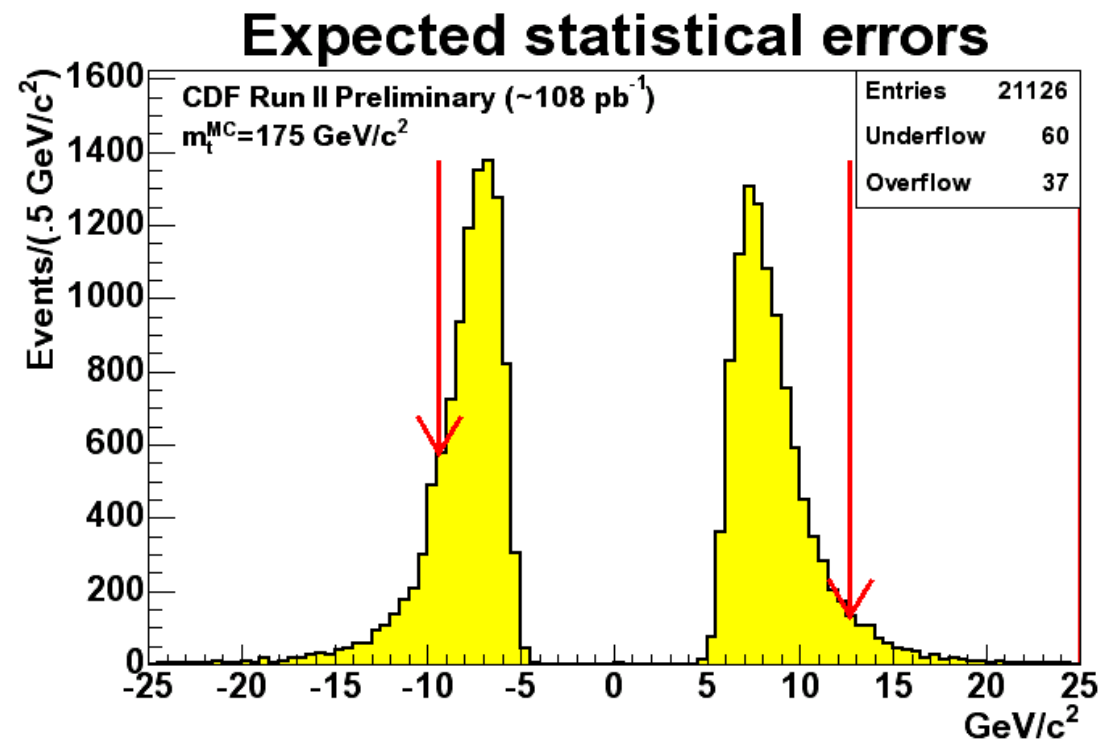
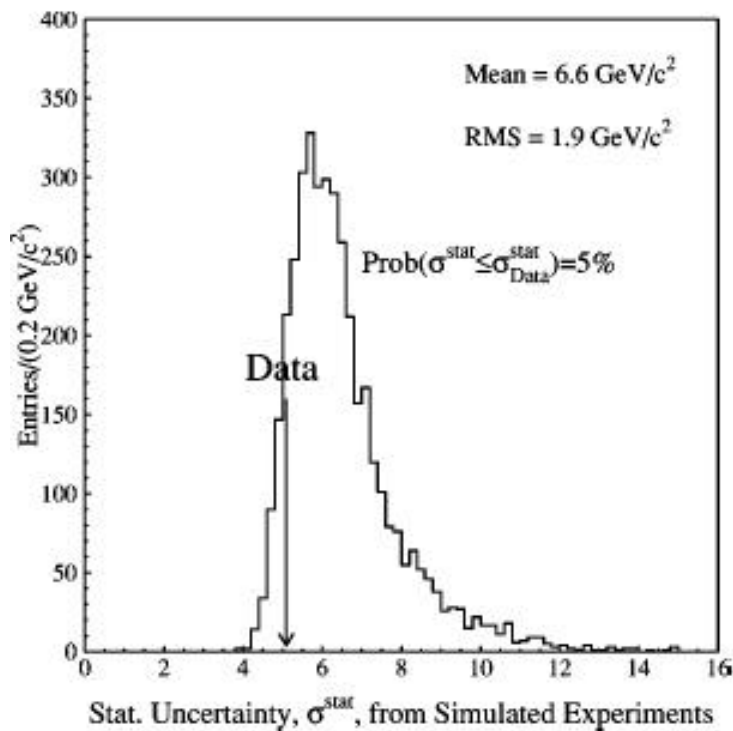
Bob Clare  
Win 03

	now	Run IIA	Run IIB	Run IIB*	LHC	LC	GigaZ
$\delta \sin^2 \theta_{\text{eff}}^{\text{lept}} (\times 10^5)$	17	78	29	20	14–20	(6)	1.3
$\delta m_W$ [MeV]	33	27	16	12	15	10	7
$\delta m_t$ [GeV]	5.1	2.7	1.4	1.3	1.0	0.2	0.13
$\delta m_H$ [MeV]	—	—	$O(2000)$		100	50	50

U.Baur, et al., Snowmass 2001, hep-ph/0111314



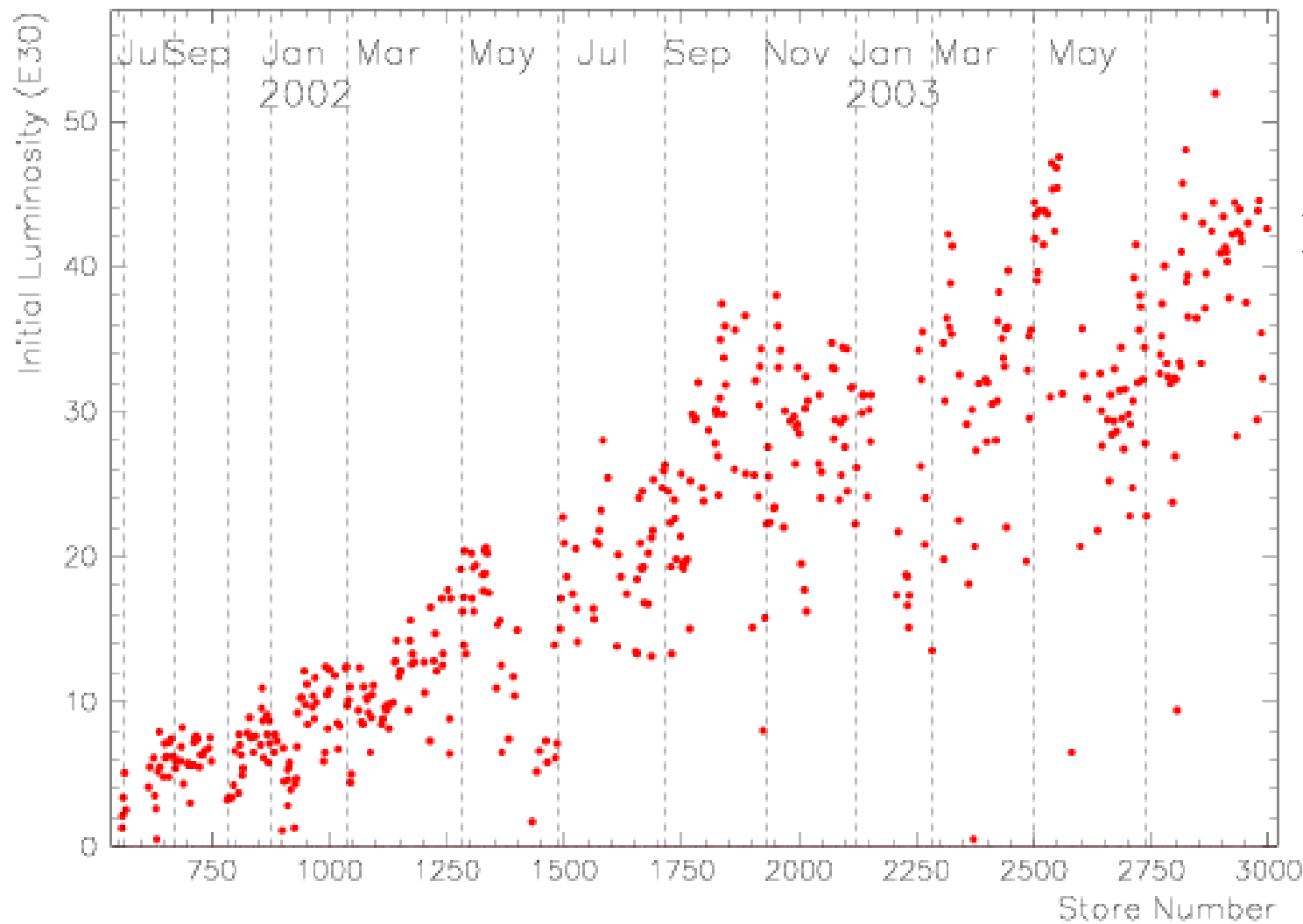
# Statistical Error – Run I vs Run II







# Initial Luminosity



Record init lumi  
5.2 E 31



# Detailed Event Selection



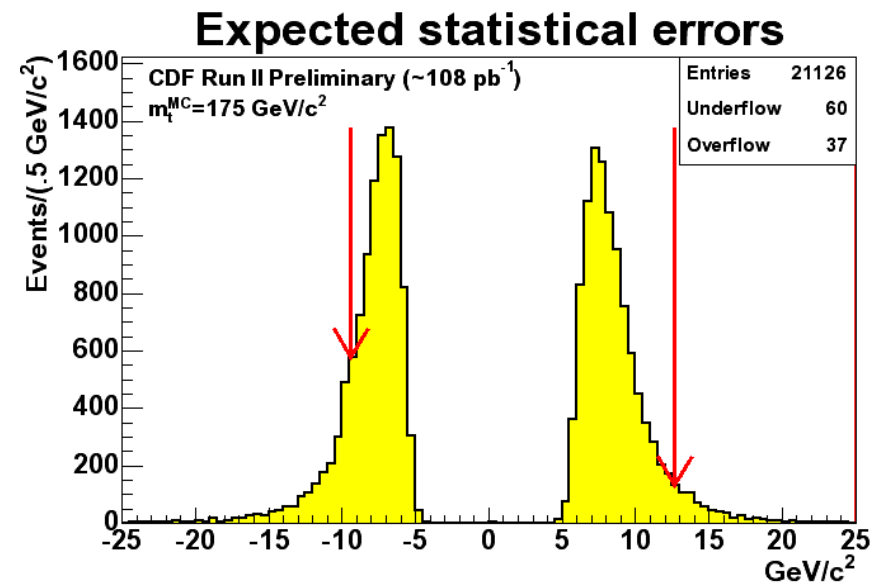
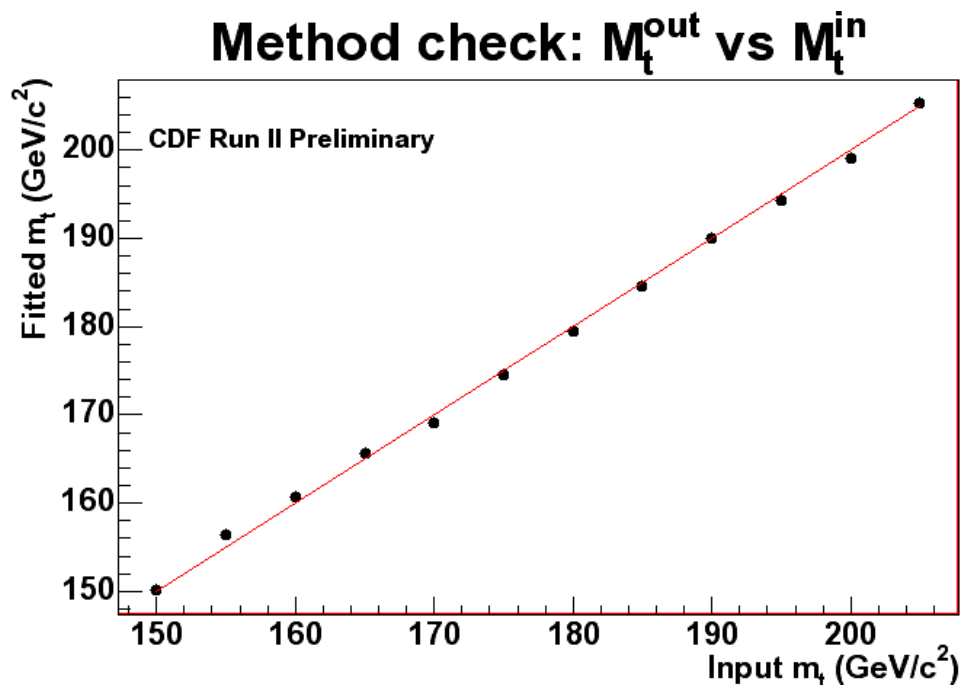
	Variable	Value
Global Event	$Z$ and cosmic veto	applied
	$\cancel{E}_t$	$> 20 \text{ GeV}$
	# of tight leptons	$= 1$
Jets	$E_T$ of the three highest energy jets	$> 15 \text{ GeV}$
	$E_T$ of the 4 <sup>th</sup> jet	$> 8 \text{ GeV}$
	$ \eta^{\text{detector}} $	$< 2$
Electrons	Region	CEM in the fiducial region
	$E_T$	$> 20 \text{ GeV}$
	$p_T$	$> 10 \text{ GeV}/c$
	$E/p$ (if $p_T < 50 \text{ GeV}/c$ )	$< 2.0$
	$E_{\text{had}}/E_{\text{EM}}$	$< 0.055 + 0.00045 \cdot E$
	$L_{\text{shr}}$	$< 0.2$
	$ \Delta z $ (track to CES match in $z$ )	$< 3 \text{ cm}$
	$Q \times \Delta x$ (track to CES match in $r-\phi$ )	between $-1.5$ and $+3.0 \text{ cm}$
	$\chi^2_{\text{strip}}$	$< 10$
	$ z_0 $ of COT track	$< 60 \text{ cm}$
	# of COT axial SL segments	$> 3$
	# of COT stereo SL segments	$> 3$
	Calor. isolation ratio in cone of 0.4	$< 0.1$
	Not a conversion	
Muons	Region	CMUP or CMX
	$p_T$	$> 20 \text{ GeV}/c$
	$E_{\text{EM}}$	$\max(2, 2 + 0.0115 \cdot (p - 100))$
	$E_{\text{had}}$	$\max(6, 6 + 0.0280 \cdot (p - 100))$
	$ \Delta x _{\text{CMU}}$	$< 3 \text{ cm}$
	$ \Delta x _{\text{CMP}}$	$< 5 \text{ cm}$
	$ \Delta x _{\text{CMX}}$	$< 6 \text{ cm}$
	$ z_0 $ of COT track	$< 60 \text{ cm}$
	$ d_0 $ if no Si hits	$< 0.2 \text{ cm}$
	$ d_0 $ if Si hits	$< 0.02 \text{ cm}$
	# of COT axial SL segments	$> 3$
	# of COT stereo SL segments	$> 3$
	COT exit radius (CMX only)	$> 140 \text{ cm}$
	Calor. isolation ratio in cone of 0.4	$< 0.1$



# Pseudo-experiments



- Take a large number (e.g. 10,000) of samples of  $x$  events, drawn from signal and background MC.
- Run through the full machinery.
- Consistency checks, and evaluation of systematics

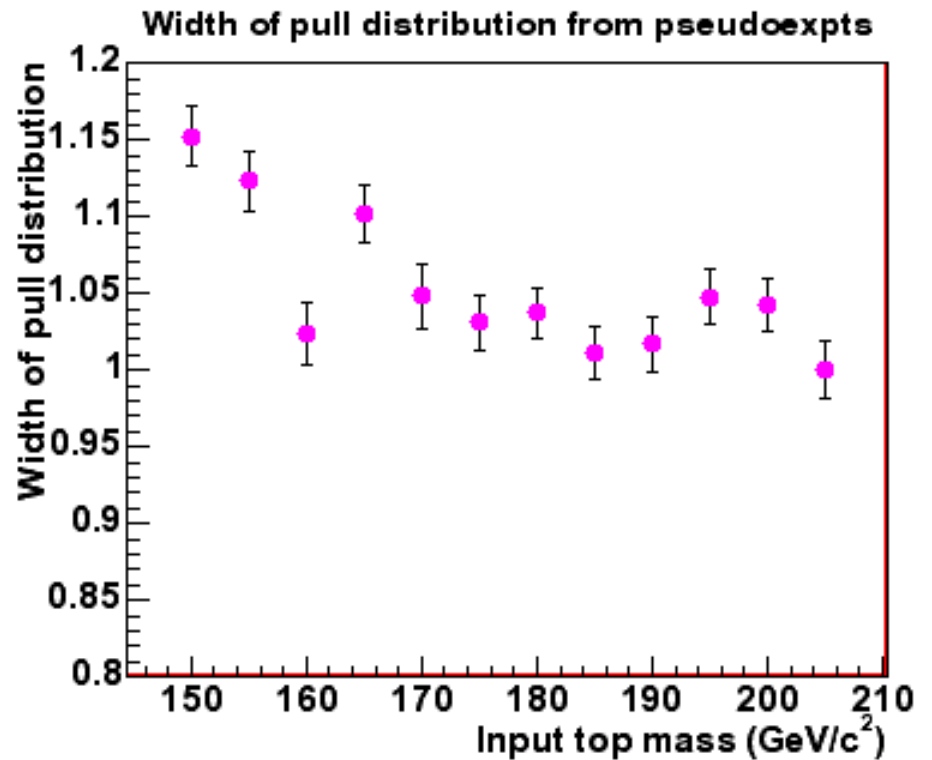
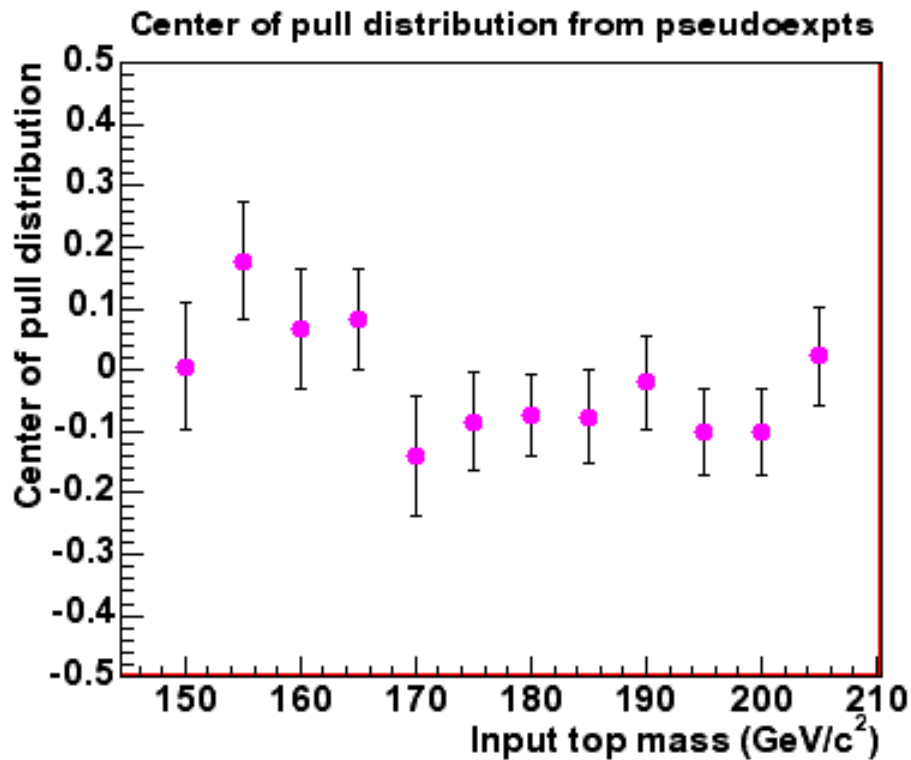




# Pull distributions

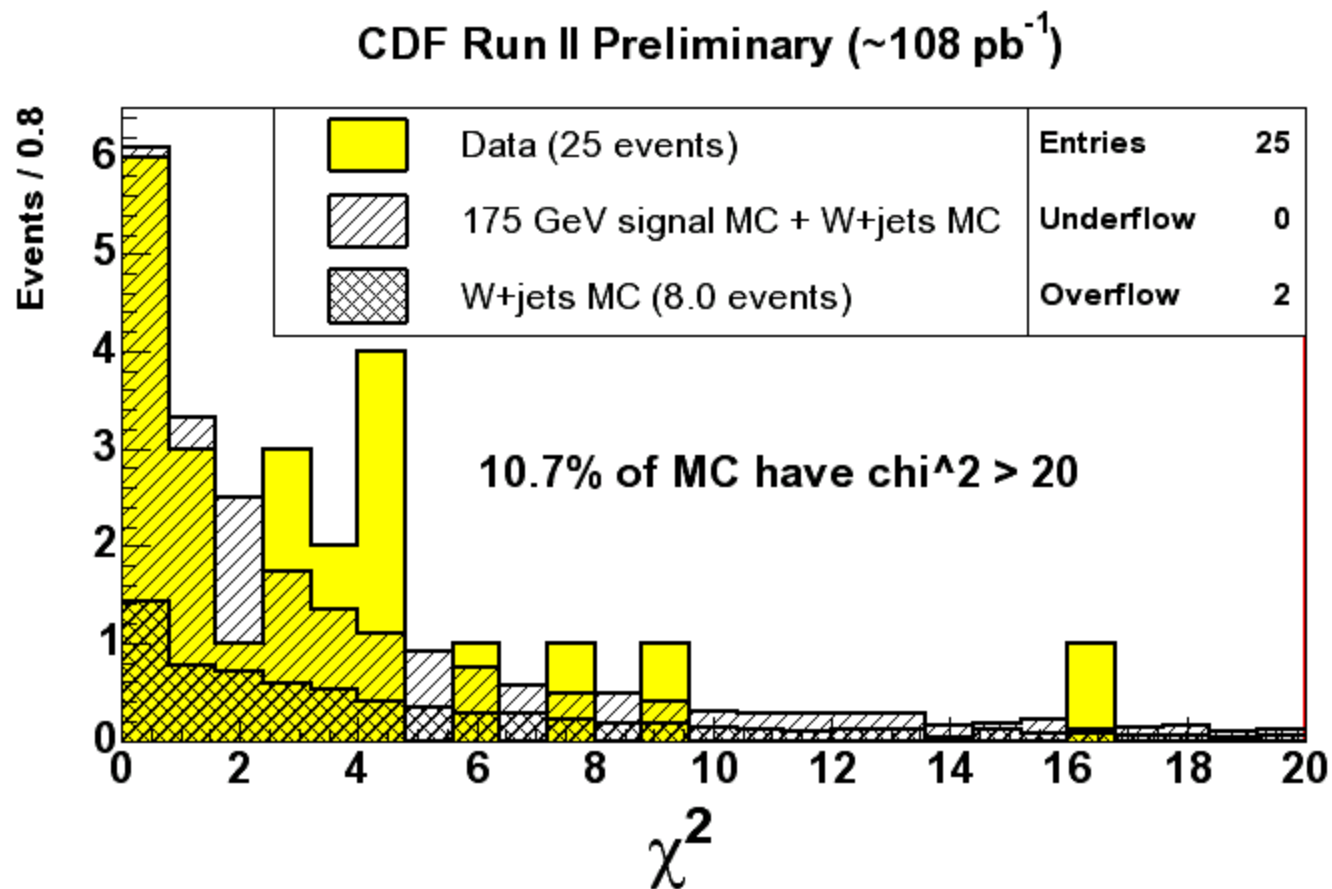


## CDF Run II Preliminary ( $\sim 108 \text{ pb}^{-1}$ )





# Chi<sup>2</sup> cut







# Detailed Jet Systematics



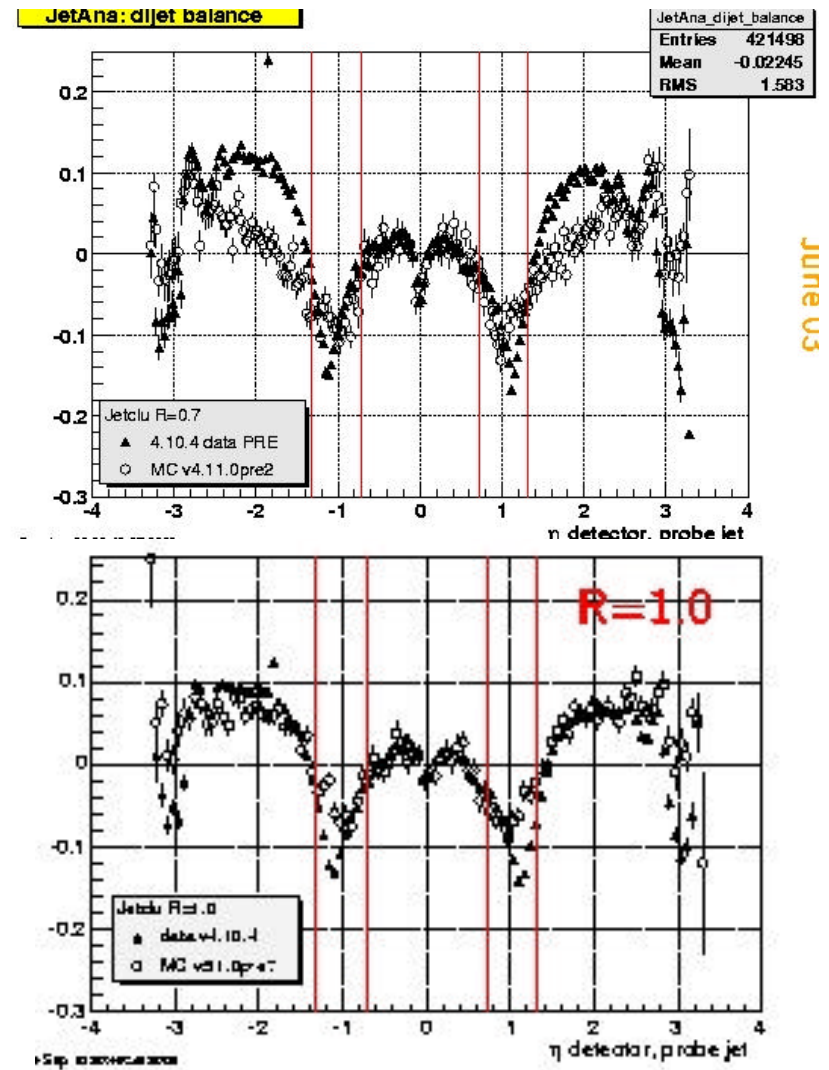
Source of Corrections		$\Delta M_{top}$ (GeV/c <sup>2</sup> )		
Level	Description	v4.9.1hpt1	v4.11.1 REMAKE	
		$\geq 3.5$ jets	$\geq 3.5$ jets	$\geq 4$ jets
1 (sim)	$\eta$ -Dependent Calibration **	$3.77 \pm 0.24$	$2.42 \pm 0.06$	$2.40 \pm 0.07$
1 (data)	$\eta$ -Dependent Calibration **	$0.91 \pm 0.24$	$1.60 \pm 0.07$	$1.79 \pm 0.07$
2 (data)	Calorimeter Stability	$0.99 \pm 0.24$	$0.98 \pm 0.07$	$0.87 \pm 0.07$
3 (sim)	Raw Scale (central) **	$4.45 \pm 0.24$	$3.51 \pm 0.07$	$3.89 \pm 0.07$
3 (data)	Raw Scale (central) **	$4.87 \pm 0.24$	$2.71 \pm 0.07$	$2.86 \pm 0.07$
5	Absolute Scale	$2.17 \pm 0.24$	$2.44 \pm 0.07$	$2.42 \pm 0.07$
7	Out-of-Cone: up to cone 1.0	$1.21 \pm 0.24$	$1.33 \pm 0.07$	$1.43 \pm 0.07$
	Out-of-Cone: outside cone 1.0	$1.15 \pm 0.24$	$1.24 \pm 0.07$	$1.52 \pm 0.07$
Total		$7.9 \pm 0.7$	$6.2 \pm 0.2$	$6.6 \pm 0.2$



# **h-Dependent Corrections, Di-Jet Balancing**



- To account for cracks (gaps, or less sensitive regions) in the calorimeter
  - Trigger Jet, Probe Jet
- $$B = (P_t^{\text{probe}} - P_t^{\text{probe}}) / 0.5 (P_t^{\text{probe}} + P_t^{\text{probe}})$$
- Corrected low energy pion response in calorimeter MC

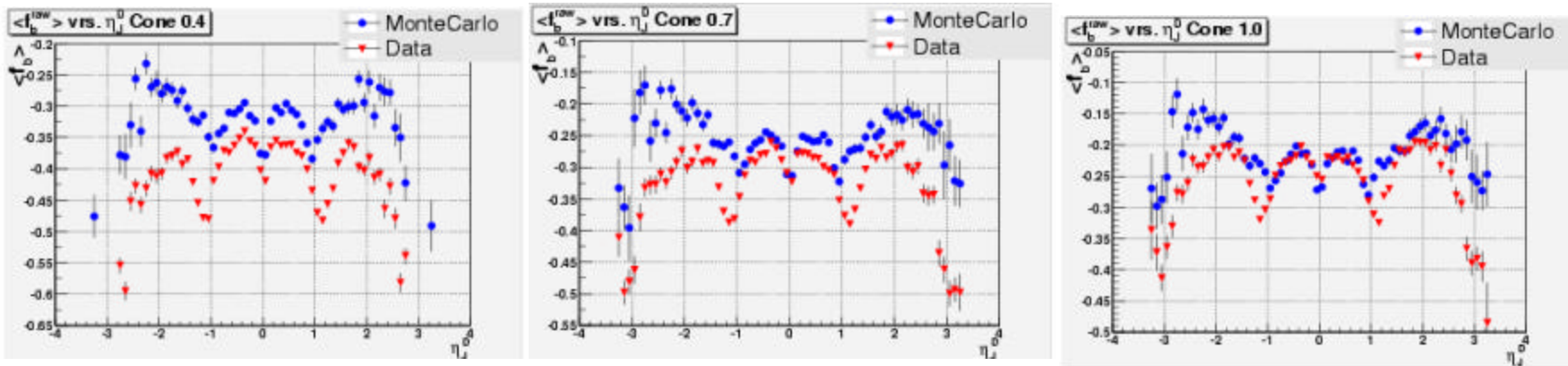




# Raw Scale, $g$ -Jet Balancing



- $\gamma$ -Jet Balancing primary check of jet scale
- Run I – Run II Differences partially understood
- Data-MC differences still extant



cone	Run 1 fb	Run 2 fb	Run2-Run1 KJ
0.4	$-32.1 \pm 0.3$	$-36.2 \pm 0.1$	$1.065 \pm 0.005$
0.7	$-24.8 \pm 0.2$	$-28.7 \pm 0.1$	$1.055 \pm 0.004$
1.0	$-18.9 \pm 0.2$	$-23.3 \pm 0.1$	$1.058 \pm 0.003$

$$fb = Pt(jet)/Pt(\tilde{a}) - 1$$

$$KJ = \frac{fb(run1)+1}{fb(run2)+1}$$



# From Fermilab W&C, 4/21/03, J. Estrada



## Lepton+jets channel



**DØ Statistics Run I : 125 pb<sup>-1</sup>**

### Standard Selections :

- Lepton:  $E_t > 20$  GeV,  $|\eta^e| < 2$ ,  $|\eta^\mu| < 1.7$
- Jets:  $\geq 4$ ,  $E_T > 15$  GeV,  $|\eta| < 2$
- Missing  $E_T > 20$  GeV
- “ $E_T^W$ ”  $> 60$  GeV ;  $|\eta_W| < 2$

—————→ **91 events**

*Ref. PRD 58 (1998), 052001:*

After  $\chi^2(77 \text{ events})$ :  $\sim 29$  signal +  $\sim 48$  backg.

(0.8  $W$ +jets and 0.2 QCD)

### Specific cuts for this analysis:

- **4 Jets only :** —————→ **71 events**
- **Background Prob. :** —————→ **22 events**



# From Fermilab W&C, 4/21/03, J. Estrada



## Acceptance Corrections



Likelihood

$$-\ln L(\alpha) = -\sum_{i=1}^N \ln P(x_i; \alpha) + N \int P(x; \alpha) dx$$

Detector Acceptance

$$P(x; \alpha) = \text{Acc}(x) P_0(x; \alpha)$$

Measured probability

Detector acceptance

Production probability

$$-\ln L(\alpha) = -\sum_{i=1}^N \ln P_0(x_i; \alpha) + N \int \text{Acc}(x) P_0(x; \alpha) dx$$

$$\int \text{Acc}(x) P_0(x; \alpha) dx = \frac{12V}{N_{\text{gen}}} \sum_{j=\text{accep.}}^N P_0(x_j; \alpha)$$

where  $V = \int d^n \sigma_{MC}(y) dq_1 dq_2 f_{MC}(q_1) f_{MC}(q_2)$ ,  $N_{\text{gen}}(N)$  is number of generated(observed) events

Juan Cruz Estrada - Fermilab

19

$$-\ln L(\alpha) = N \int A(x) [c_1 P_u(x; \alpha) + c_2 P_{bkg}(x)] dx$$

$$- \sum_{i=1}^N \{ \ln [c_1 P_u(x_i; \alpha) + c_2 P_{bkg}(x_i)] \}$$





# Transfer Function (Estrada)



Transfer function  $W(x,y)$



$W(x,y)$  probability of measuring  $x$  when  $y$  was produced ( $x$  jet variables,  $y$  parton variables):

$$W(x,y) = \delta^3(p_e^y - p_e^x) \prod_{j=1}^4 W_{jet}(E_j^y, E_j^x) \prod_{i=1}^4 \delta^2(\Omega_i^y - \Omega_i^x)$$

where

$E^y$  energy of the produced quarks  
 $E^x$  measured and corrected jet energy  
 $p_e^y$  produced electron momenta  
 $p_e^x$  measured electron momenta  
 $\Omega_j^y, \Omega_j^x$  produced and measured jet angles

Energy of electrons is considered well measured, an extra integral is done for events with muons. Due to the excellent granularity of the DØ calorimeter, angles are also considered as well measured. A sum of two Gaussians is used for the jet transfer function ( $W_{jet}$ ), parameters extracted from MC simulation.



# Probability (Estrada)



## Probability for tt events (“dσ”)



$$P_{tt} = \frac{1}{\sigma_{tot}} \int d\rho_1 dm_1^2 dM_1^2 dm_2^2 dM_2^2 \sum_{comb, \nu} |M|^2 \frac{f(q_1)f(q_2)}{|q_1||q_2|} \phi_6 W_{jet}(x, y)$$

2(in) + 18(final) = 20 degrees of freedom

3(e) + 8(Ω1..Ω4) + 3(P<sub>in</sub>=P<sub>final</sub>)+1(E<sub>in</sub>=E<sub>final</sub>) = 15 constraints

20 – 15 = 5 integrals

Sum over 24 combinations of jets, all values of the neutrino momentum are considered. Because it is L.O., we use only 4-jet events.

$\rho_i$	momentum of one of the jets	$m_1 m_2$	top mass in the event
$M_1, M_2$	W mass in the event	$f(q_1)f(q_2)$	parton distribution functions (CTEQ4) for qq incident chann.
$q_1, q_2$	initial parton momenta	$\phi_6$	six particle phase space
$W(x, y)$	probability of measuring x when y was produced in the collision		

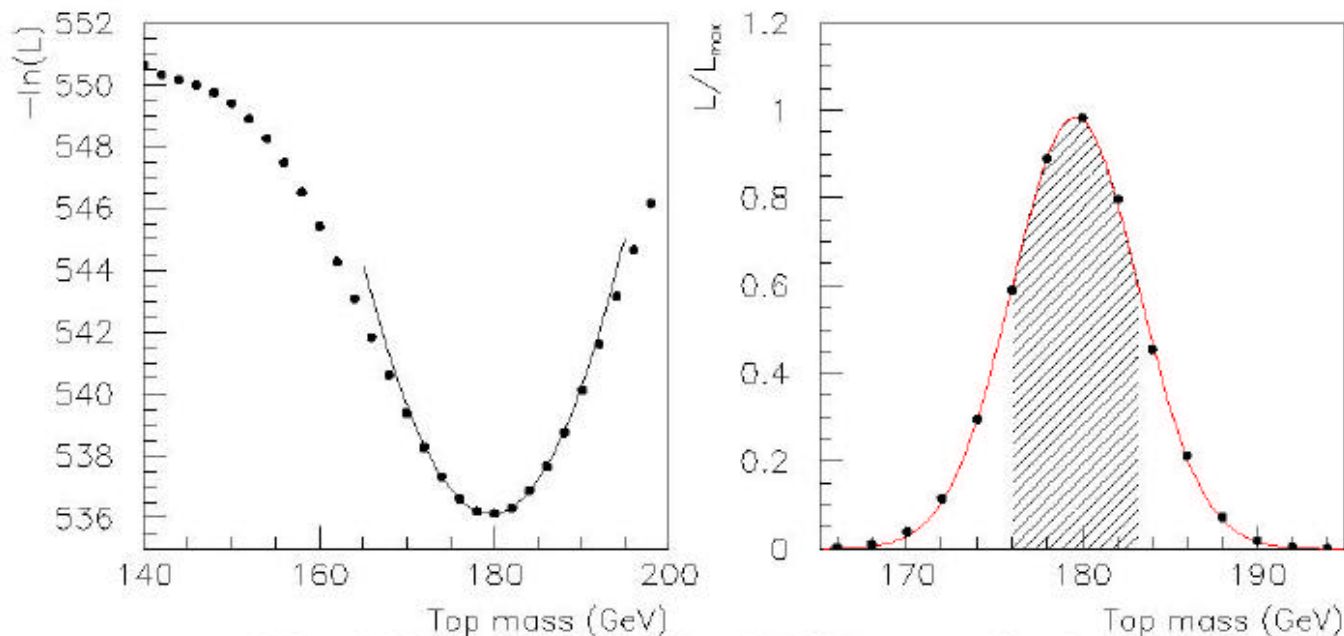
We choose these variables of integration because  $|M|^2$  is almost negligible, except near the four peaks of the Breit-Wigners within  $|M|^2$ .



# D0 results from data



## New Preliminary Result



$$M_t = 180.1 \pm 3.6 \text{ GeV} \pm \text{SYST} - \text{preliminary}$$

This new technique improves the statistical error on  $M_t$  from 5.6 GeV [PRD 58 52001, (1998)] to 3.6 GeV. This is equivalent to a factor of 2.4 in the number of events. 22 events pass our cuts, from fit: (12 s + 10 b)  
(0.5 GeV shift has been applied, from MC studies)

Juan Cruz Estrada - Fermilab

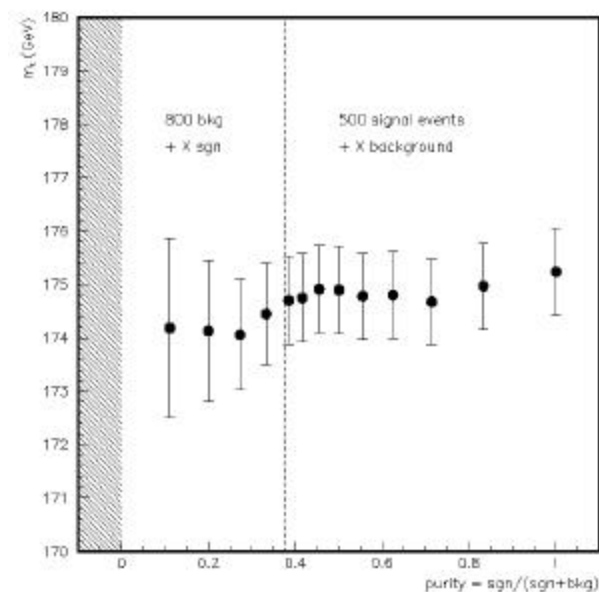
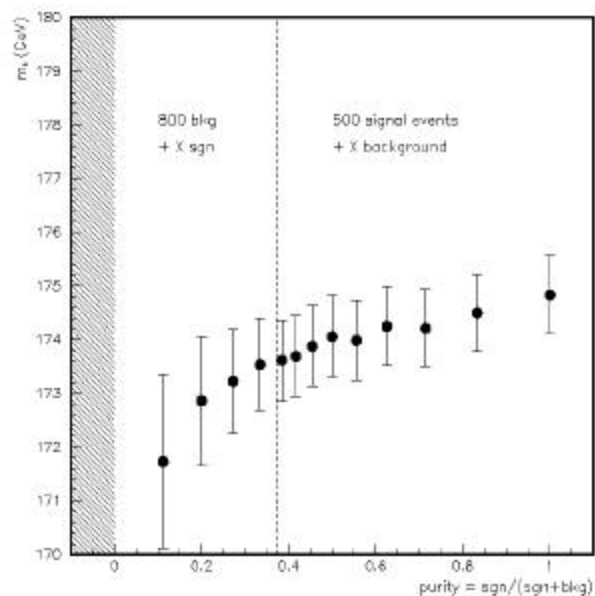
31



# Bias due to background fraction

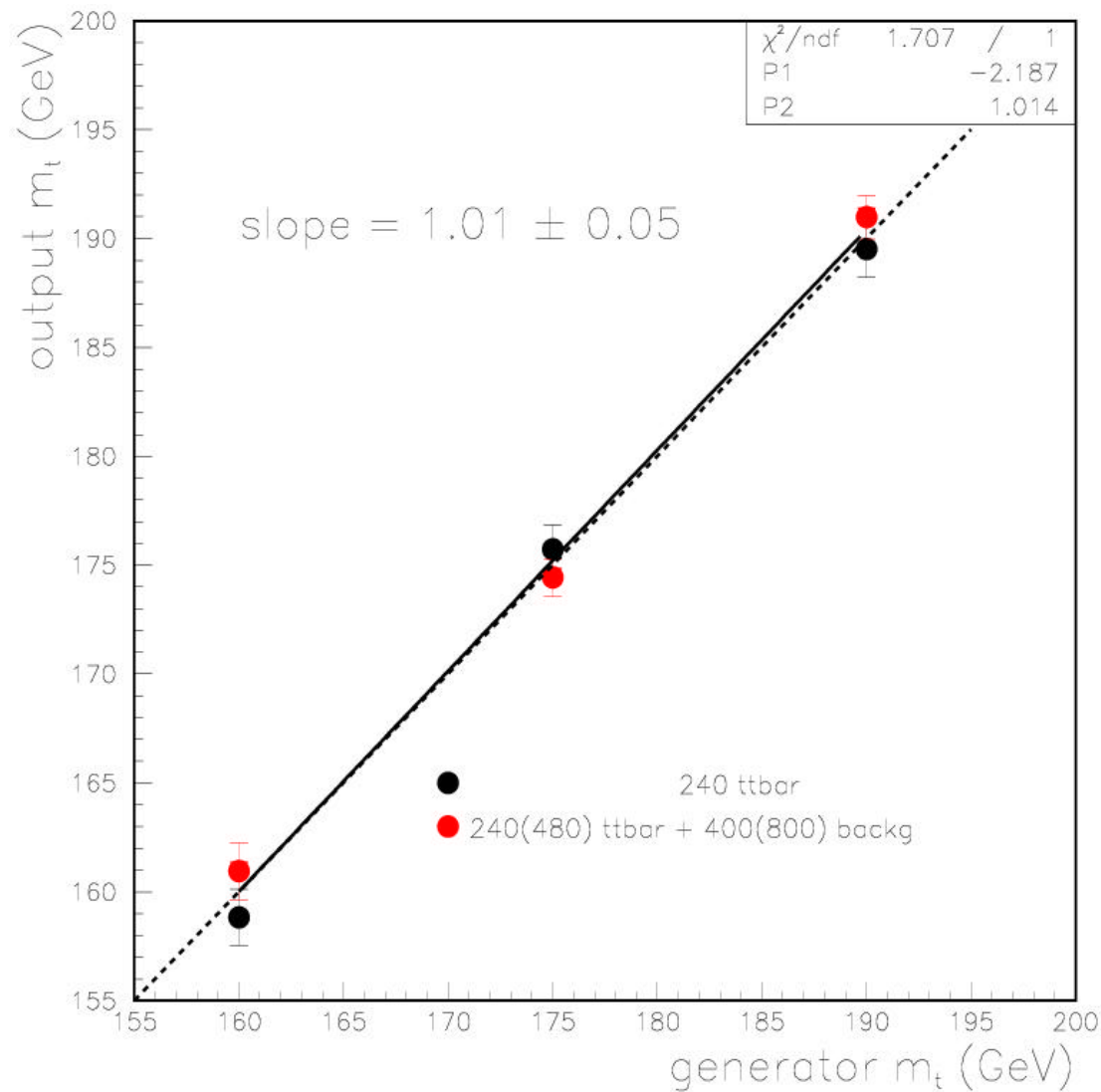


- D0 saw, in MC, a bias as a function of background fraction
- Applied a cut on background probability
  - Eliminated 70% of W+jets and 77% of QCD background
  - Eliminated 30% of ttbar events.
- 22 events in data,  $12 \pm 4$  background





# D0 check for bias of method



$1.014 \pm 0.05?$

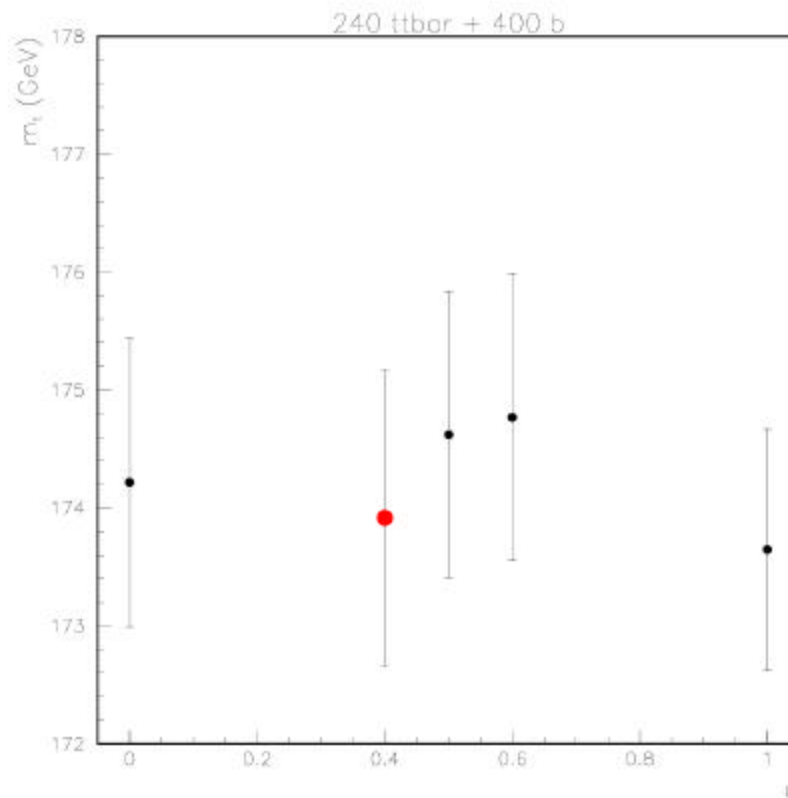
How big of a  
correction  
would this  
make?



# Signal model systematic



- Vary  $u$ , the fraction of events in MC where you cannot (can?) match all 4 jets to partons.
- 1.5 GeV systematic error.



D0 (2003)





# Extracting the transfer functions



- We have separate transfer functions for light quark and b quark jets.
- We use two gaussians, hoping that one gaussian will take the peak and the other (stretched out) gaussian the asymmetric tails. May be able to find a better parameterization.

$$\mathbf{d}_E = E_{parton} - E_{jet}$$

$$F(\mathbf{d}_E) = \frac{1}{\sqrt{2\mathbf{p}}(p_2 + p_3 p_5)} \left[ \exp \frac{-(\mathbf{d}_E - p_1)^2}{2p_2^2} + p_3 \exp \frac{-(\mathbf{d}_E - p_4)^2}{2p_5^2} \right]$$

- $W(E_{parton}, E_{jet}) = F(\mathbf{d}_E)$
- Parameters depend linearly on parton energy:  $p_i = a_i + b_i E_{parton}$
- Normalized so that, for a given  $E_{parton}$ ,  $W$  is the probability density function for getting a given  $E_{jet}$ .

$$\int_0^{\infty} W(E_{parton}, E_{jet}) dE_{jet} = 1$$

- 10 total parameters, extracted from an unbinned likelihood fit of ordered  $(E_{parton}, E_{jet})$  pairs.



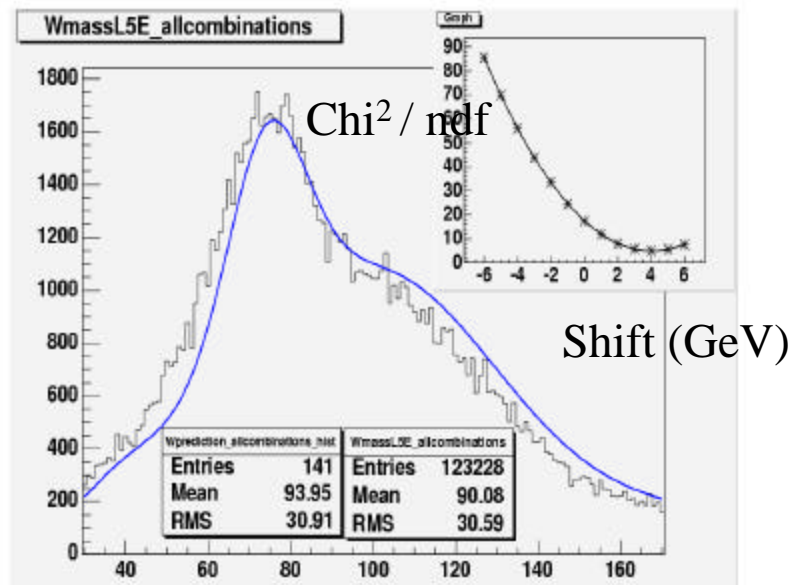
# Possible sources of systematic bias



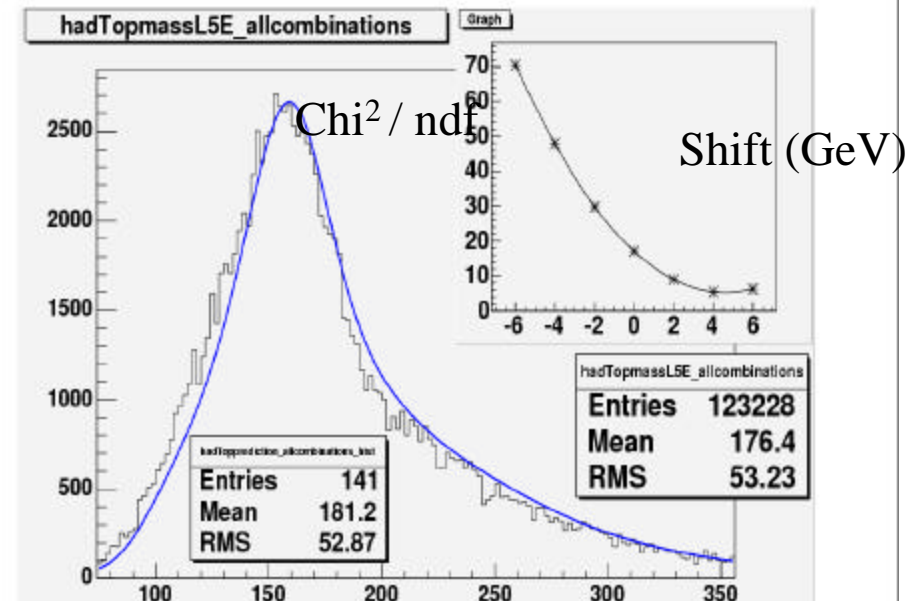
- Possible that the fits of the transfer function parameterization to the reconstructed MC are just bad.
  - Difficult to consider goodness of fit globally.
  - Could take slices in  $E_{\text{parton}}$  and compare fit to MC.
- The two jets from W decay are correlated
  - Transfer functions treat them as independent.
  - Given  $E_{\text{parton}}$ , when  $\Delta r$  is small transfer functions will overestimate  $E_{\text{jet}}$ .  
When  $\Delta r$  is large transfer functions will underestimate.
- We have a hard cutoff at  $E_{\text{jet}} = 15$  GeV, parameterization doesn't take this into account.
  - May be able to change normalization to account for this. 
$$\int_{15}^{\infty} W(E_{\text{parton}}, E_{\text{jet}}) dE_{\text{jet}} = 1$$
- When taking integrals for mass, we're under weighting events with low parton energies – they already passed event selection at jet level.
  - May be able to reweight them.
  - Or, can start with a more inclusive sample, where the deweighting would be appropriate.



# Hadronic W and top mass from transfer functions – 12 combinations



Hadronic W mass (GeV)



Hadronic top mass (GeV)

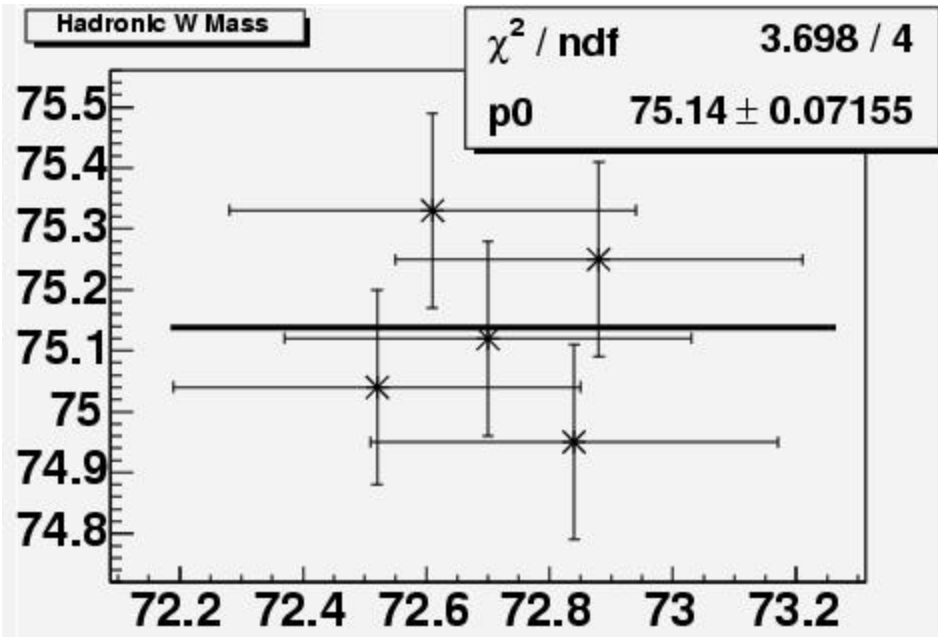
- Histogram from simulated, reconstructed Herwig jets.
- Blue curve is prediction from transfer function, using parton level Herwig.
- Inset is  $\chi^2$  as we shift the histogram against the prediction.
- Note that the prediction is systematically high!**



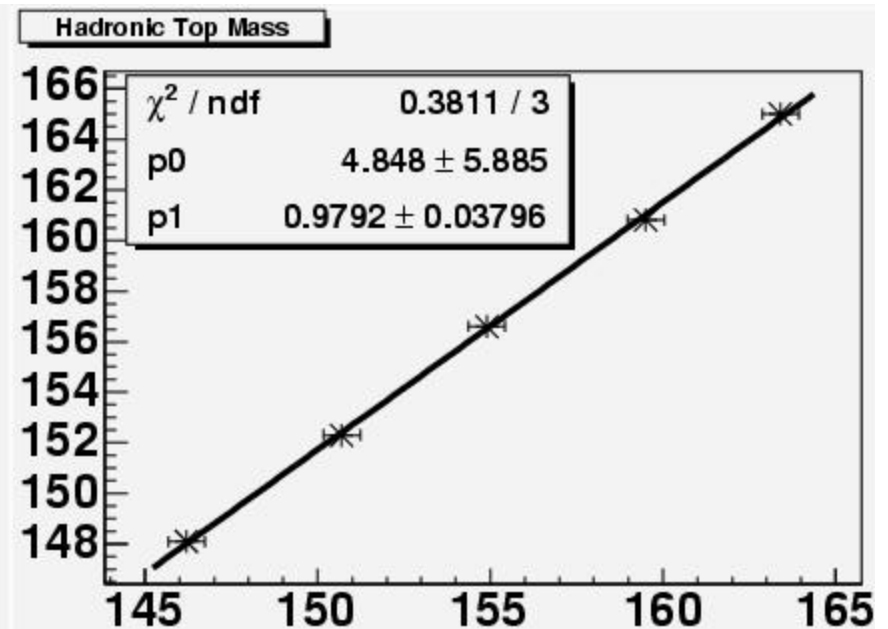
# Test of mass dependence of transfer functions



- Extract transfer functions from 175 GeV Herwig ttbar MC
- Apply them to 165, 170, 175, 180, 185 GeV Herwig, compare prediction to simulation



Predicted hadronic W mass  
vs. simulated, reconstructed W  
mass (GeV)



Predicted hadronic top mass  
vs. simulated, reconstructed top  
mass (GeV)



D0 (1998)

# Systematics

D0 (2003)



TABLE XXIX. Systematic uncertainty summary.

	LB (GeV/c <sup>2</sup> )	NN (GeV/c <sup>2</sup> )	Average (GeV/c <sup>2</sup> )
Jet energy scale	4.2	3.8	4.0
Generator			
$t\bar{t}$ signal	1.9	1.9	1.9
VECBOS flavors	2.5	2.5	2.5
Noise/MI	1.3	1.3	1.3
Monte Carlo stat.	0.6	1.1	0.85
LB/NN diff	0.8	0.8	0.8
Likelihood fit	1.0	1.0	1.0
Total	5.6	5.4	5.5

## Systematic Uncertainties for top quark mass

Determined from MC studies with large event samples:

Signal model	1.5 GeV/c <sup>2</sup>
Background model	1.0 GeV/c <sup>2</sup>
Noise and multiple interactions PRD 58 52001, (1998)	1.3 GeV/c <sup>2</sup>

Determined from data:

Jet Energy Scale	3.3 GeV/c <sup>2</sup>
Parton Distribution Function	0.2 GeV/c <sup>2</sup>
Acceptance Correction	0.5 GeV/c <sup>2</sup>

Phys. Rev. D {58} 052001 (1998)

## Run I CDF syst

Source	Uncertainty (GeV/c <sup>2</sup> )
Jet energy measurement	4.4
Initial and final state radiation	2.6
Shape of background spectrum	1.3
$b$ -tagging	0.4
Parton distribution functions	0.3
Monte Carlo generators	0.1
Total	5.3

Source of Syst.	$\Delta M_{top}$ (GeV/c <sup>2</sup> )
Jet Energy	6.2
ISR	1.3
FSR	2.2
Generators	0.5
PDFs	2
Other MC modeling (Jet Resolution, $p_T^{top}$ )	1
Background Shape	0.5
$b$ -tagging	0.1 (Run I)
Total	7.1